

# “Um Estudo Quantitativo Sobre o Uso de Herança e Interface em Sistemas Java”

Aluno: Carlos Eduardo de Carvalho Dantas  
Orientador: Marcelo de Almeida Maia

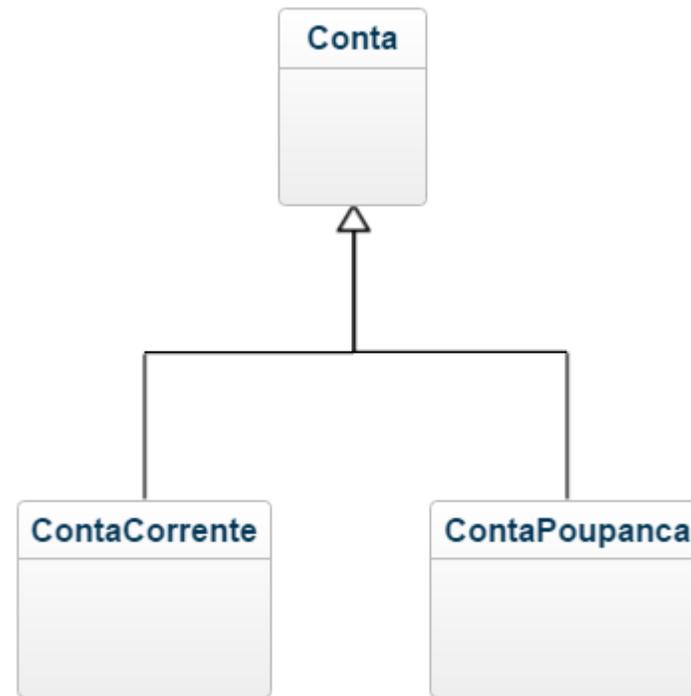


Fevereiro/2017

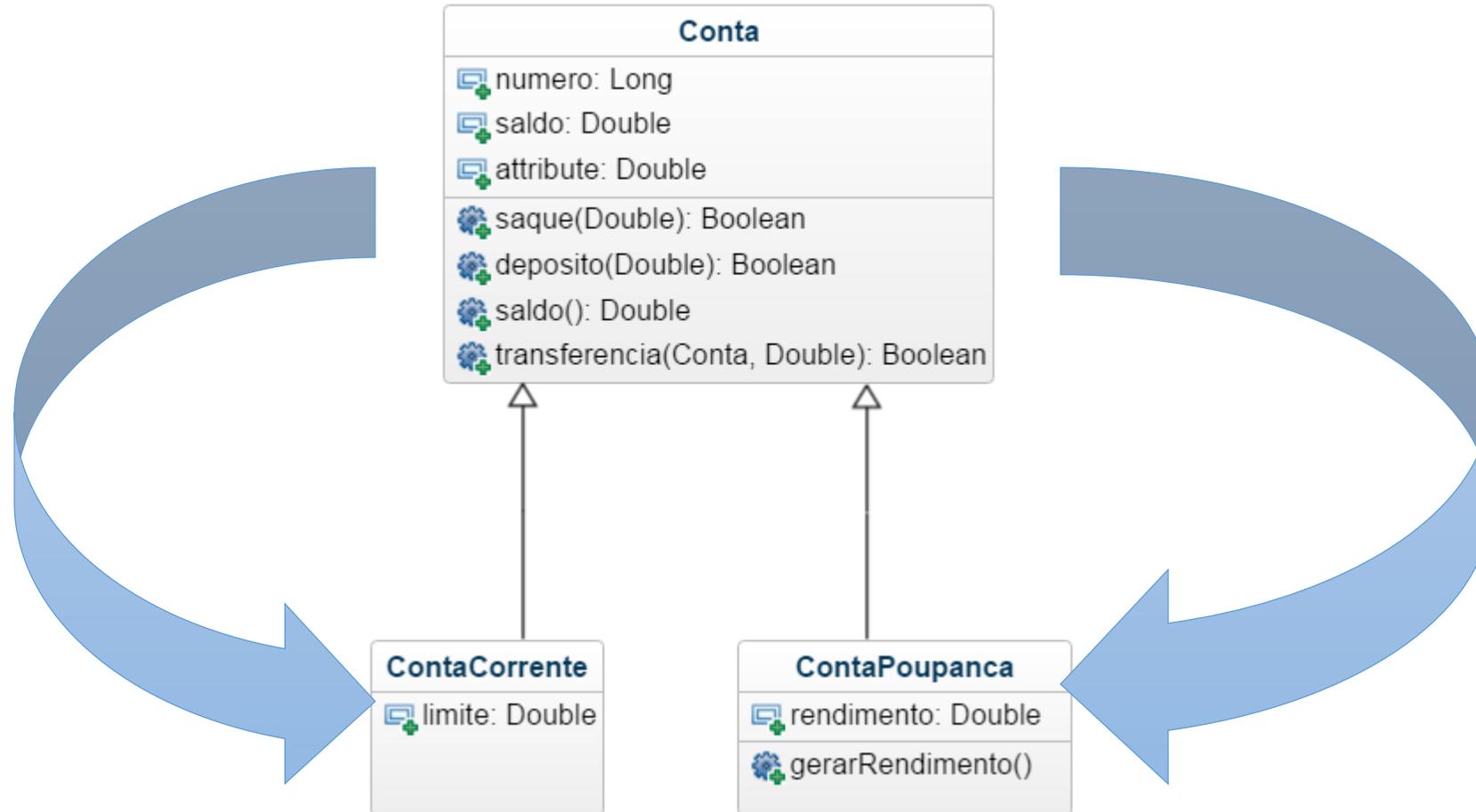
# ROTEIRO

- 1. Contextualização do Problema.**
- 2. Objetivos.**
- 3. Metodologia.**
- 4. Resultados.**
- 4. Ameaças à validade.**
- 5. Conclusão.**

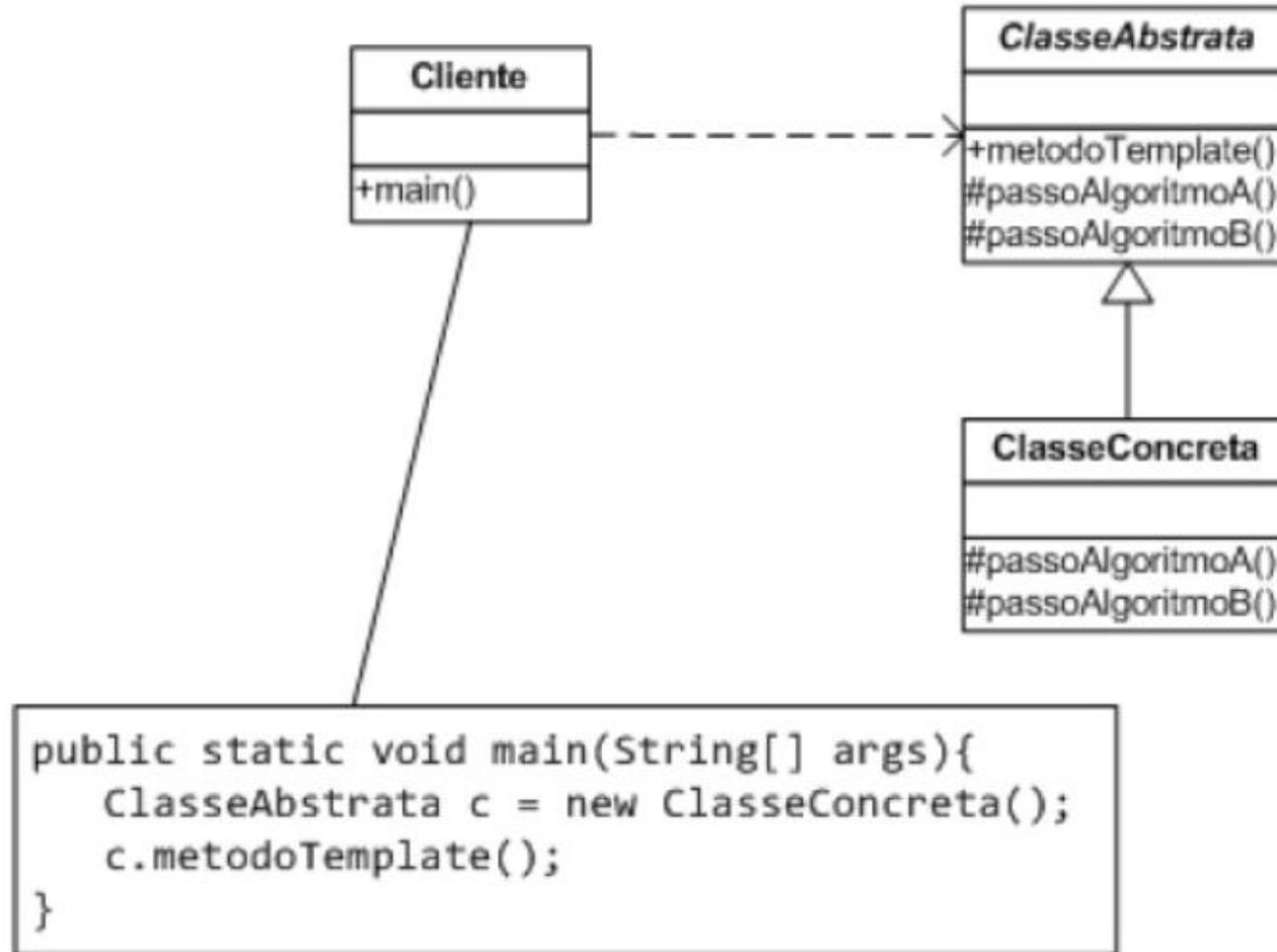
# HERANÇA



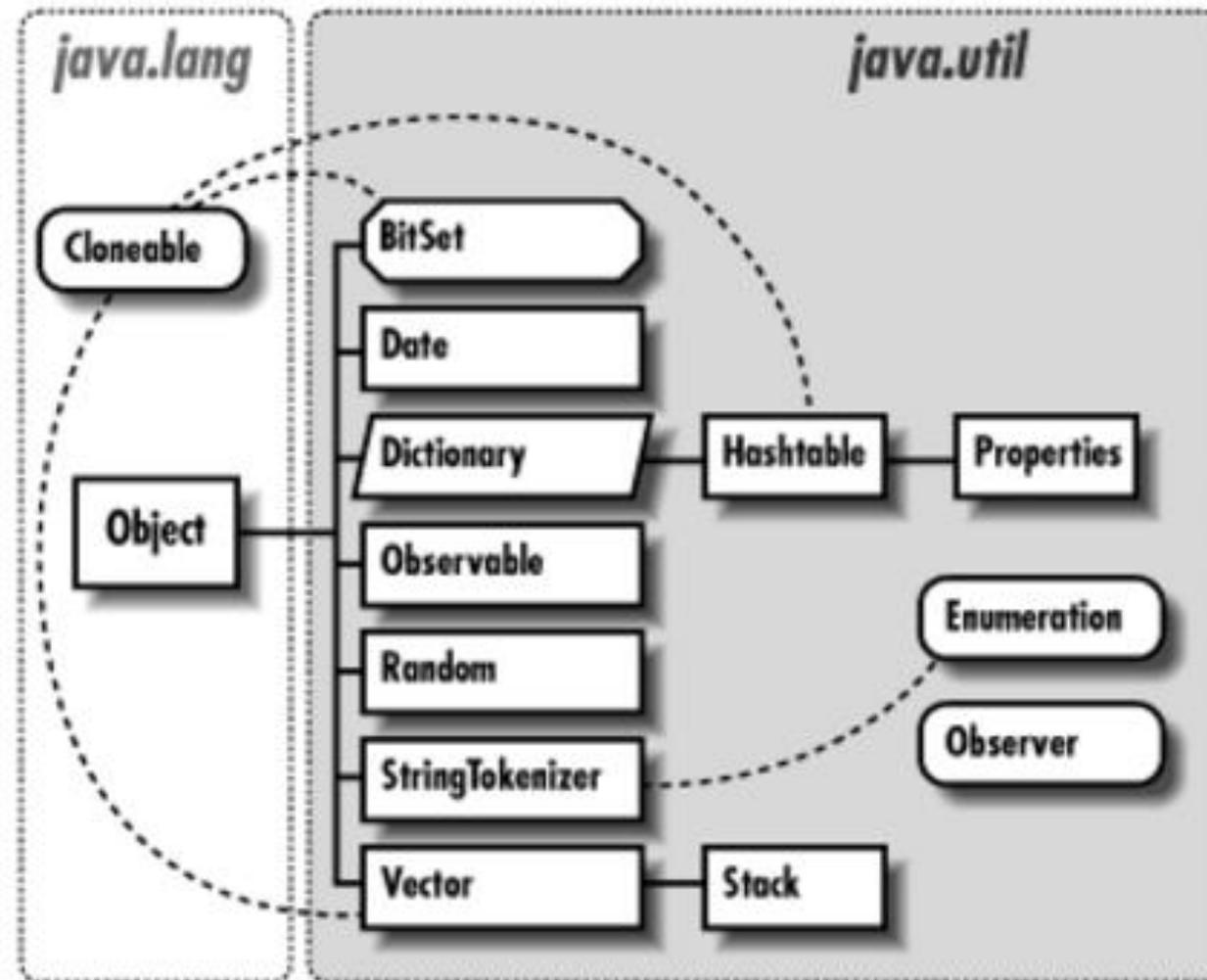
# Reaproveitamento de Código



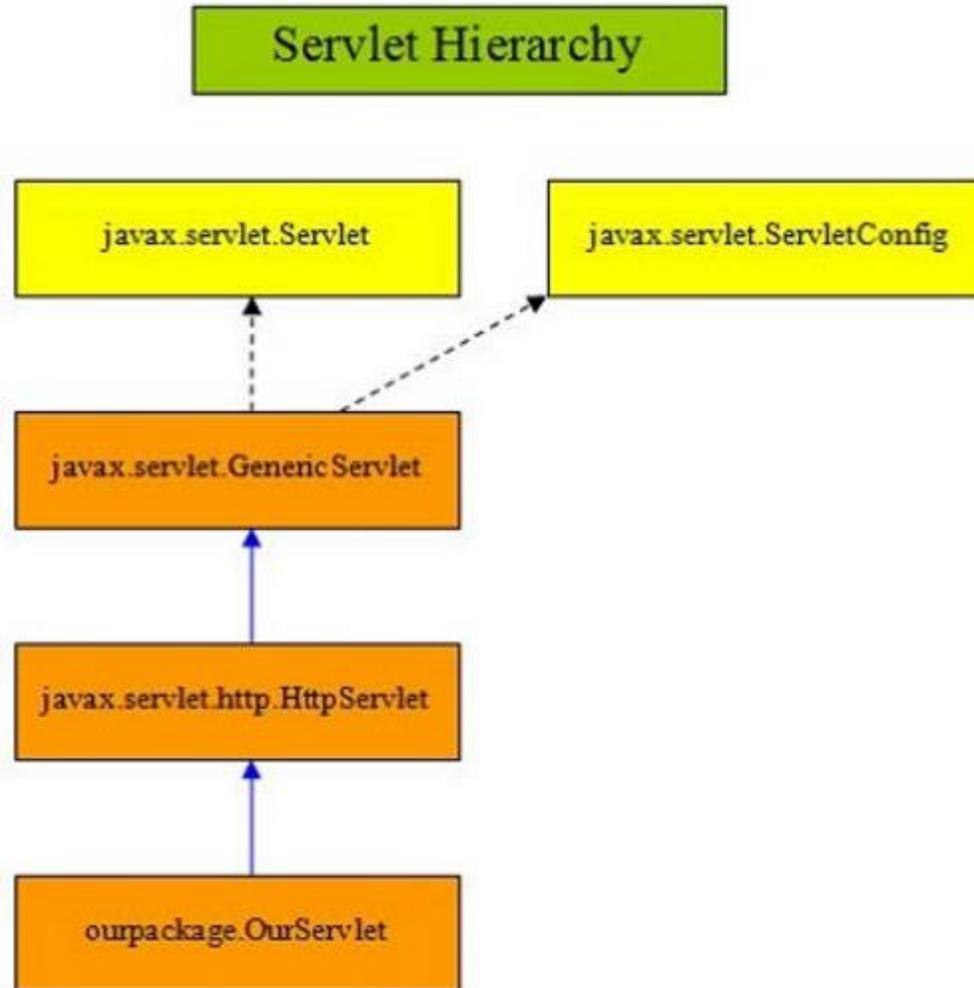
# Design Patterns



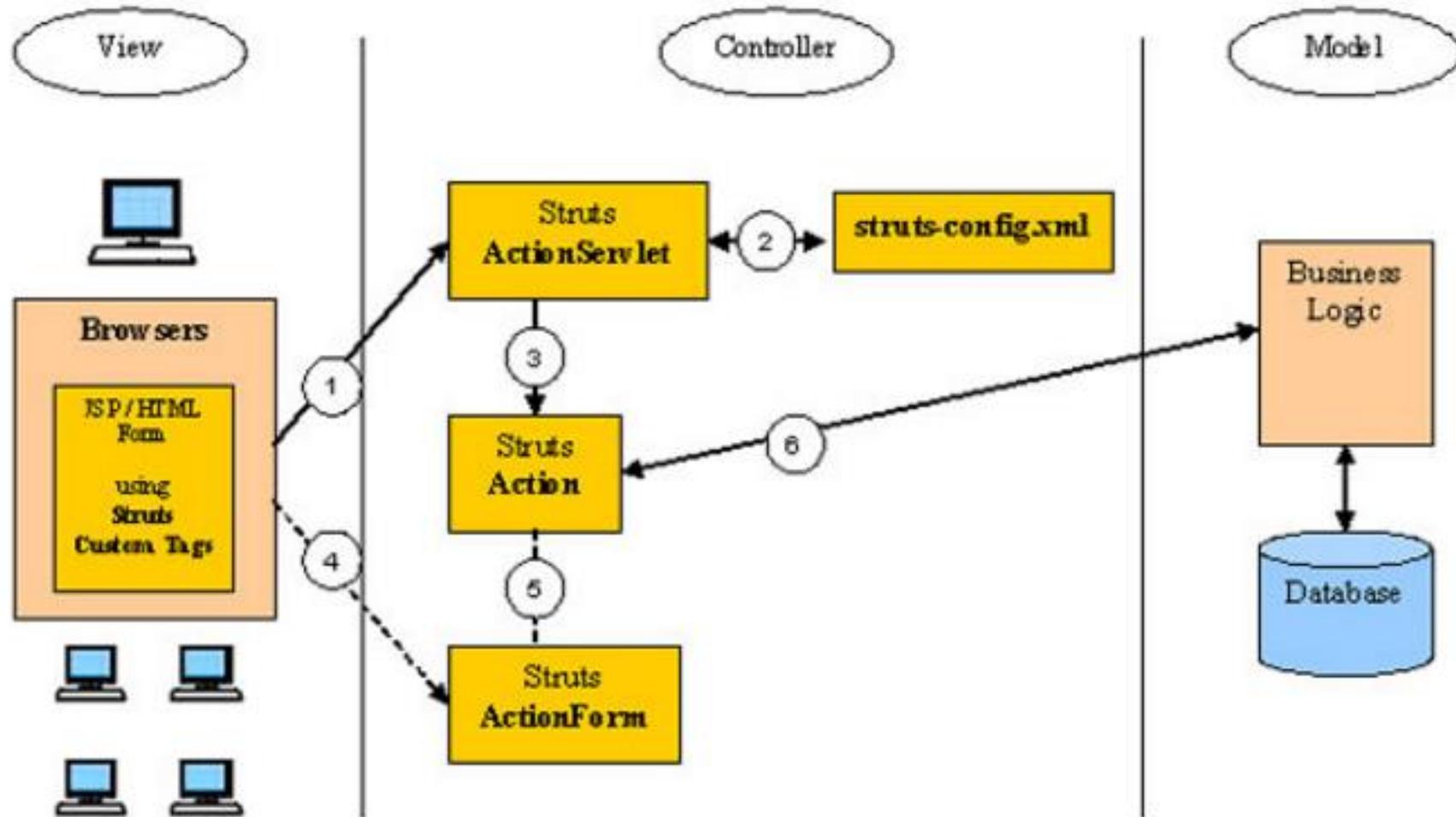
# Sintaxe da linguagem Java



# APIs



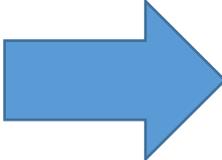
# Frameworks



Entretanto...

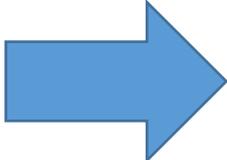
*“The introduction of inheritance severely compromises the benefits of this encapsulation”*

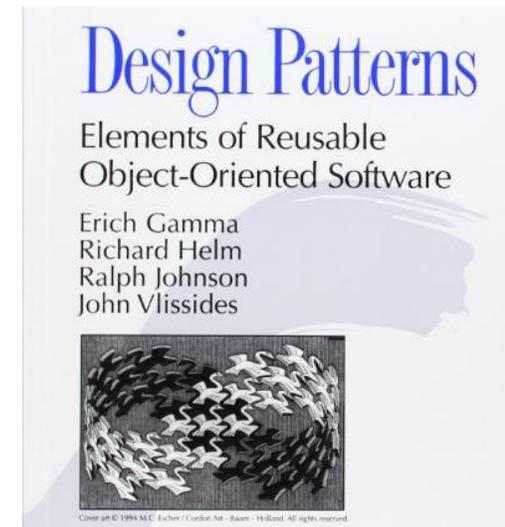
**Encapsulation and Inheritance  
in  
Object-Oriented Programming Languages**

 1986

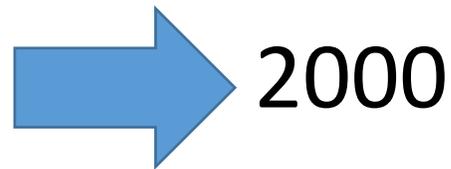
Alan Snyder  
Software Technology Laboratory  
Hewlett-Packard Laboratories  
P.O. Box 10490  
Palo Alto CA 94303-0971  
(415) 857-8764

*“Favor object composition over class inheritance”*

 1995

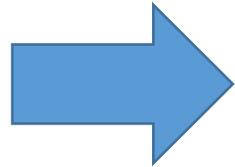


*“Systems without inheritance were easier to modify than the corresponding systems containing three or five levels of inheritance”*



### Experimental Assessment of the Effect of Inheritance on the Maintainability of Object-Oriented Systems

R. Harrison, S. Counsell, R. Nithi  
Department of Electronics and Computer Science,  
Mountbatten Building,  
University of Southampton, Southampton, SO17 1BJ, U.K.  
Tel. +44 (0) 1703 593219, Fax. +44 (0) 1703 593045.  
email: rh@ecs.soton.ac.uk



2003

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## JAVA TOOLBOX

By Allen Holub

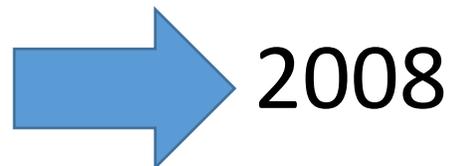
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HOW-TO

## Why extends is evil

Improve your code by replacing concrete base classes with interfaces

*“Used inappropriately, inheritance leads to fragile software”*

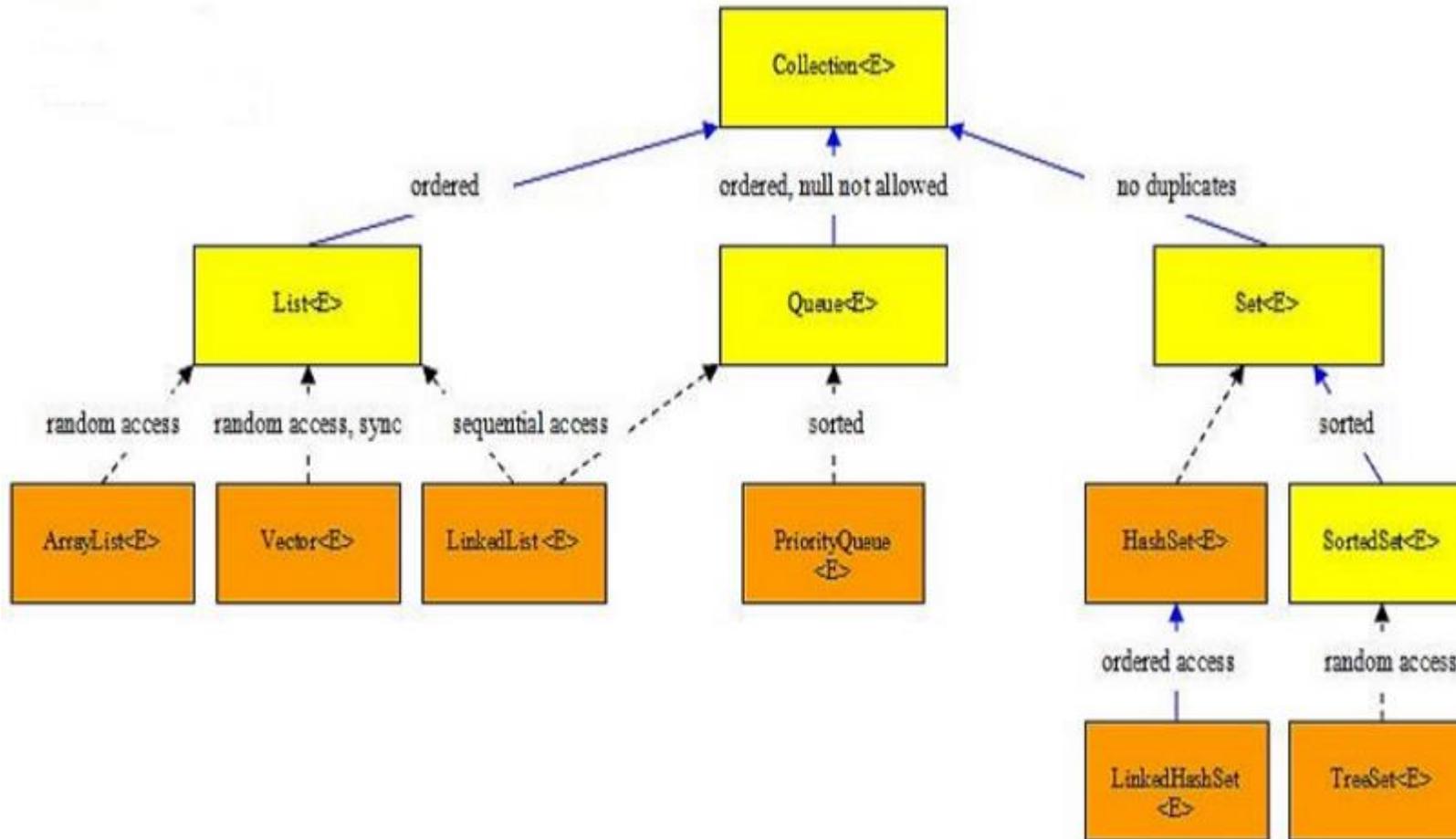


Joshua Bloch ↗

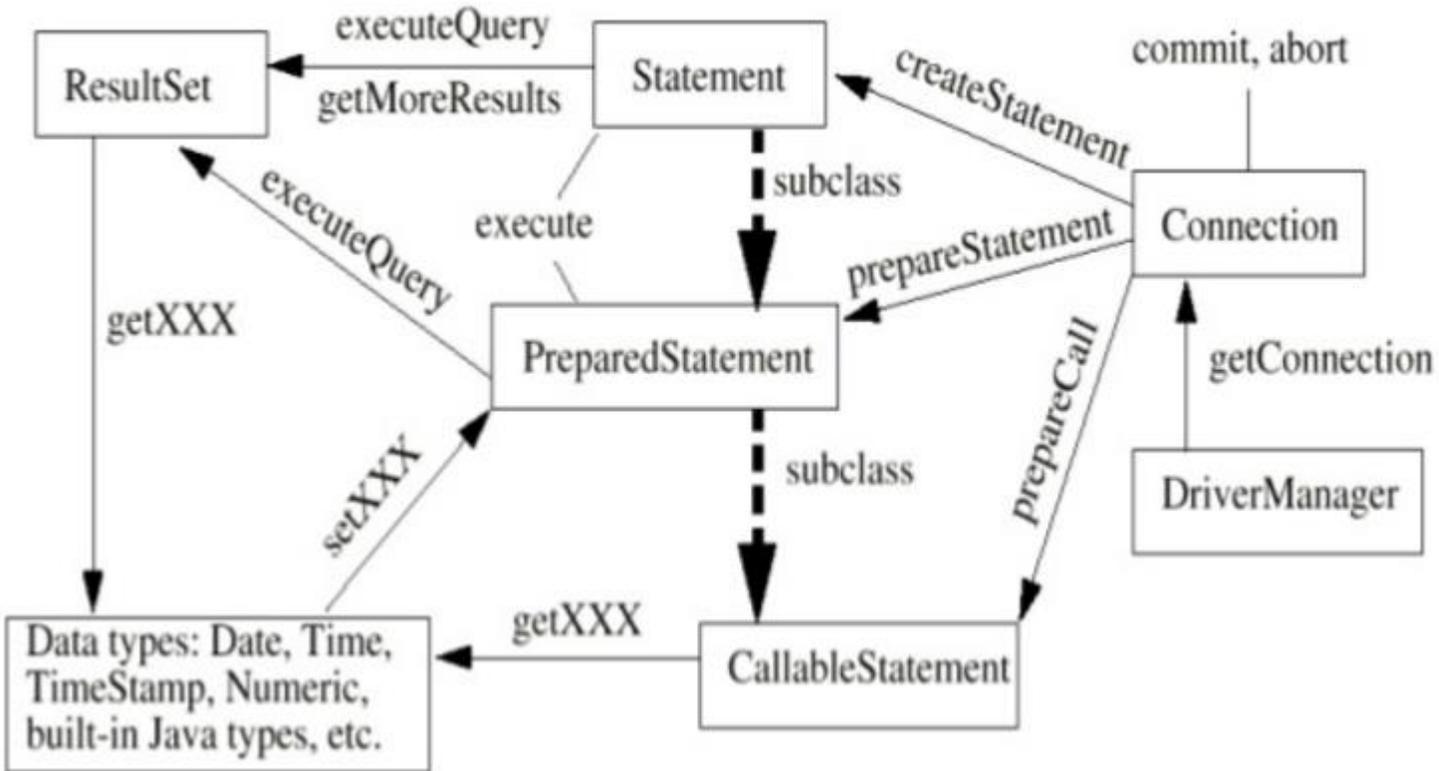
**Effective Java™**  
Programming Language Guide

Foreword by Guy Steele

# Collections Framework



# JDBC API



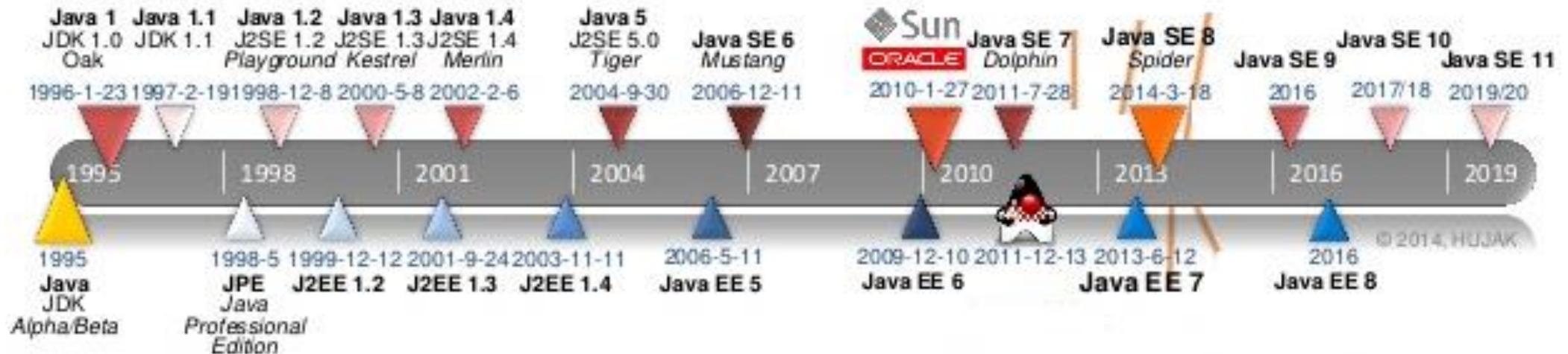
Estas recomendações exerceram alguma influência nos desenvolvedores em geral?

# ROTEIRO

1. Contextualização do Problema.
- 2. Objetivos.**
3. Metodologia.
4. Resultados.
4. Ameaças à validade.
5. Conclusão.

Avaliar se o recurso de herança vêm sendo empregado de maneira diferente em sistemas mais recentes

*RQ #1) A época em que o sistema foi construído exerce alguma influência sobre a frequência no uso de herança ou implementação de interfaces?*



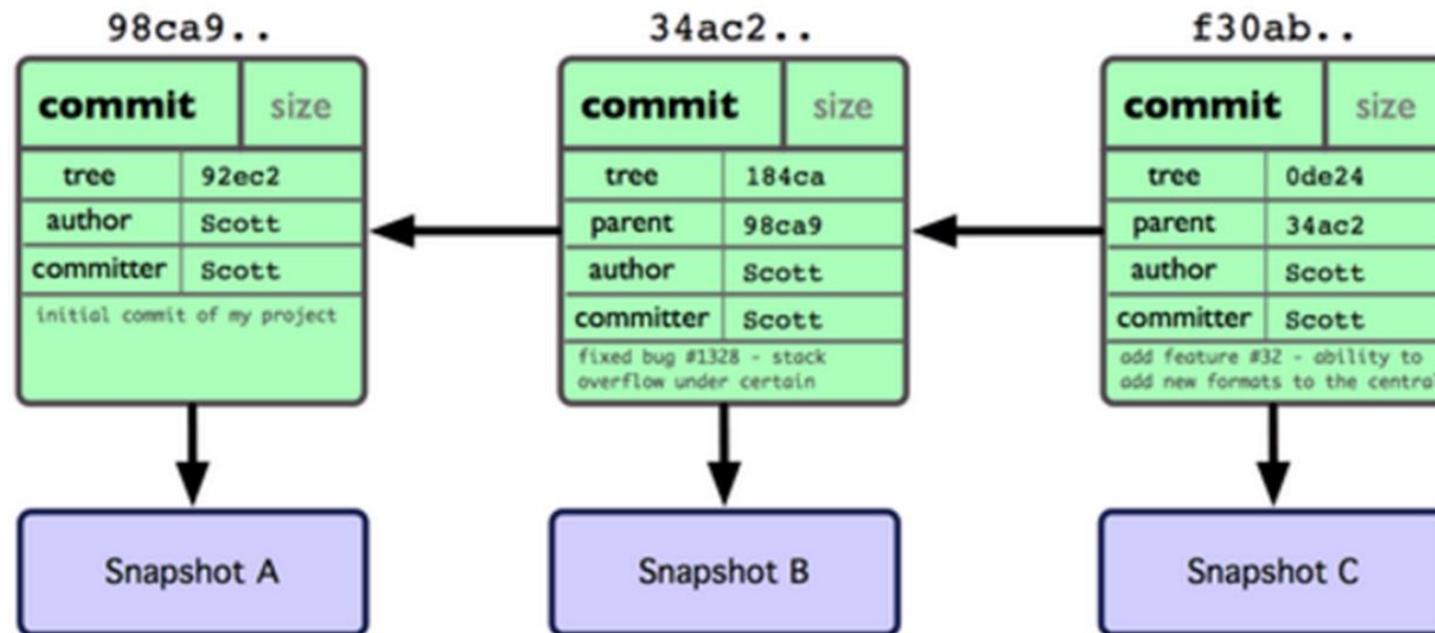
*RQ #2: A época em que o sistema foi construído exerce alguma influência sobre a quantidade das quebras de encapsulamento por instanceof?*

Superclasse

```
public void reconcile(WSDLElement element, Element changedElement) {
    ReconciliationBPELReader reader = getReader(element, changedElement);
    if (element instanceof Activity) {
        reader.xml2Activity((Activity)element, changedElement);
    } else if (element instanceof Process) {
        reader.xml2Process(changedElement);
    } else if (element instanceof Import) {
        reader.xml2Import((Import)element, changedElement);
    } else if (element instanceof Condition) {
        reader.xml2Condition((Condition)element, changedElement);
    } else if (element instanceof CompletionCondition) {
        reader.xml2CompletionCondition((CompletionCondition)element, changedElement);
    } else if (element instanceof Branches) {
        reader.xml2Branches((Branches)element, changedElement);
    } else if (element instanceof Expression) {
        reader.xml2Expression((Expression)element, changedElement);
    } else if (element instanceof Documentation) {
        reader.xml2Documentation((Documentation)element, changedElement);
    } else if (element instanceof Link) {
        reader.xml2Link((Link)element, changedElement);
    } else if (element instanceof Links) {
        reader.xml2Links((Links)element, changedElement);
    } else if (element instanceof ElseIf) {
        reader.xml2ElseIf((ElseIf)element, changedElement);
    } else if (element instanceof Else) {
        reader.xml2Else((Else)element, changedElement);
    }
}
```

Subclasses

*RQ #3: A época em que o sistema foi construído exerce alguma influência sobre a quantidade de alterações corretivas em classes com herança e interface?*



*RQ #4: Classes com herança ou implementação de interface possuem níveis adequados de coesão e acoplamento?*

CBO

LCOM

RFC

ELOC

NOM

WMC

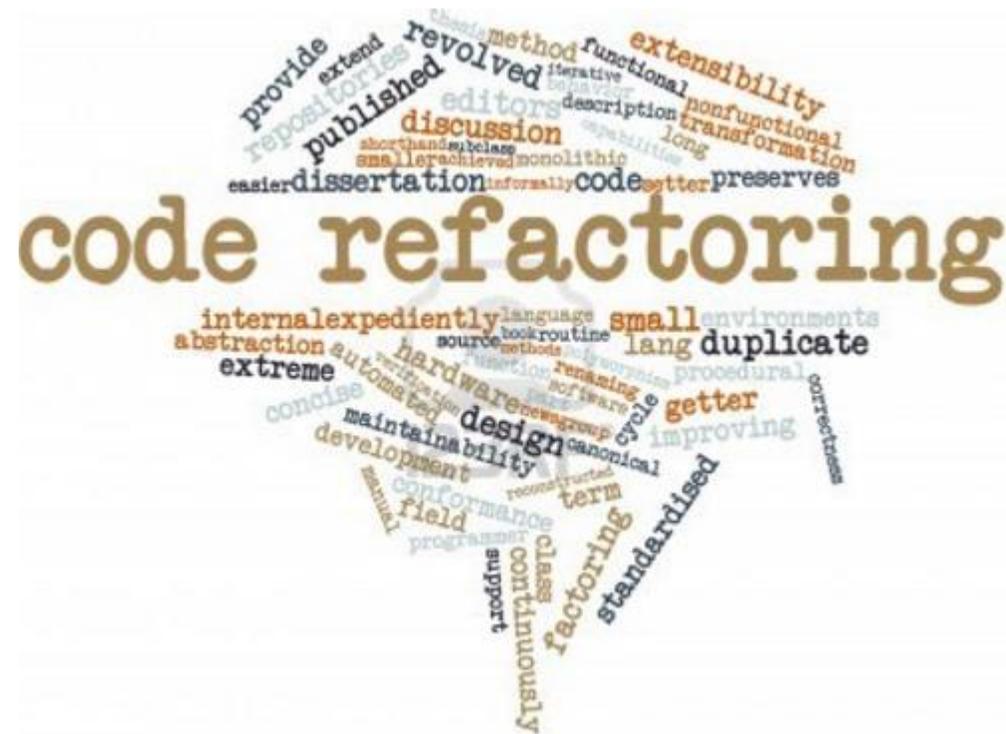
*RQ #5: Quais Code Smells ocorrem predominantemente em classes com herança ou implementação de interface?*



Class Data Should be Private  
Complex Class  
Functional Decomposition  
God Class  
Lazy Class  
Long Method  
Spaghetti Code



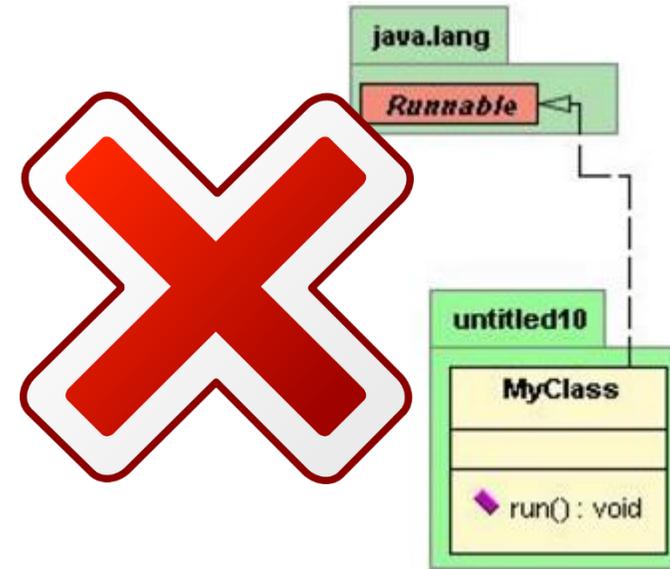
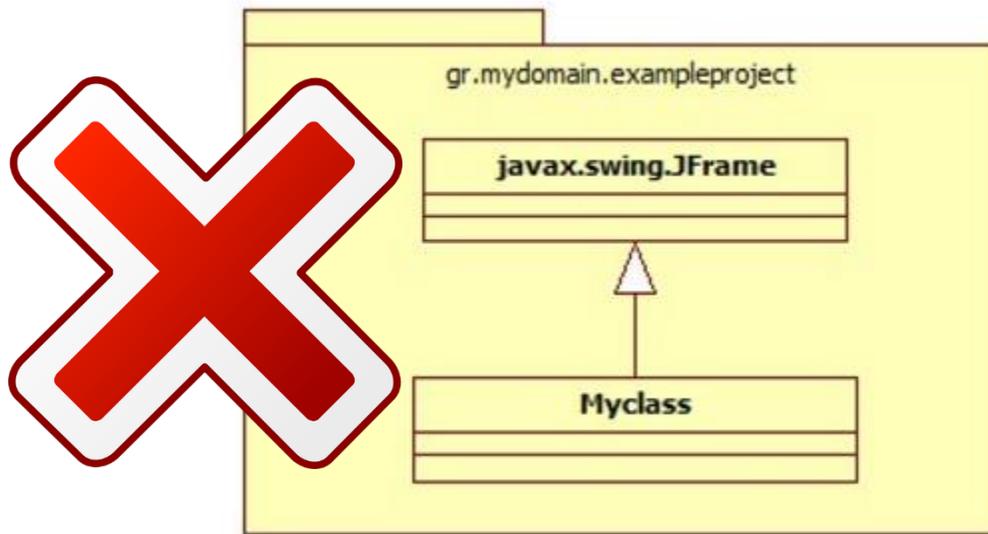
*RQ #6: Com qual frequência ocorre adição ou remoção de herança e implementação de interfaces sobre as classes? E por quais razões estas operações são realizadas?*



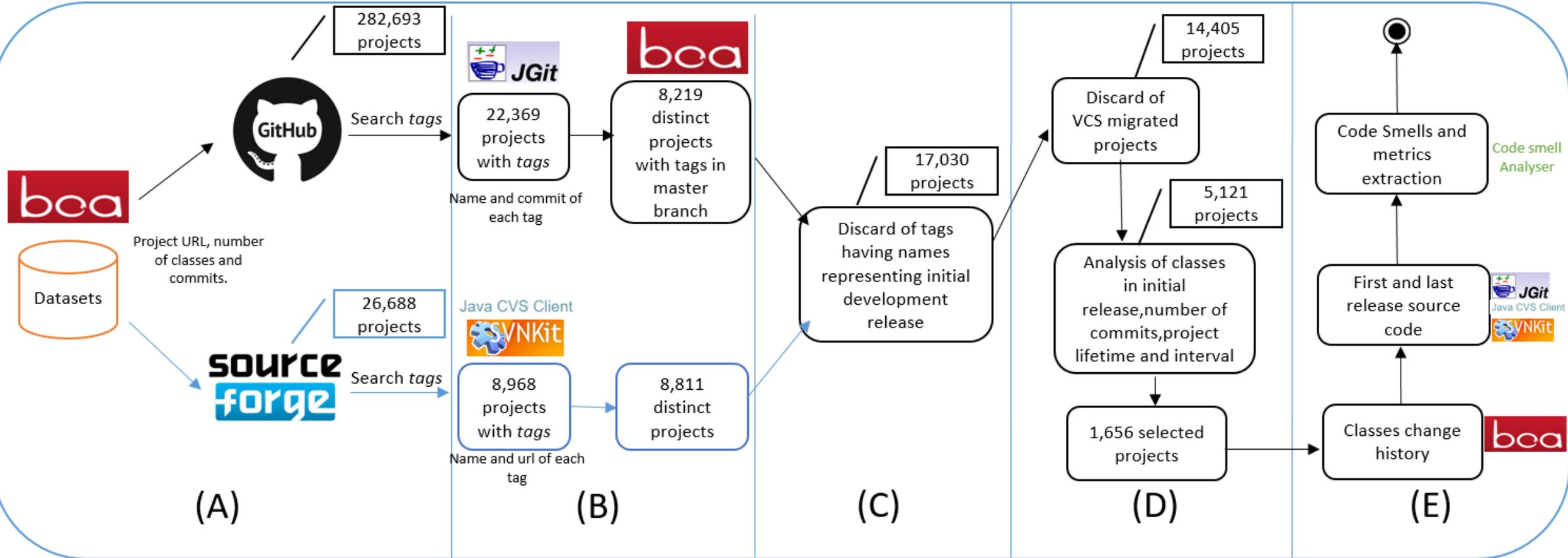
# ROTEIRO

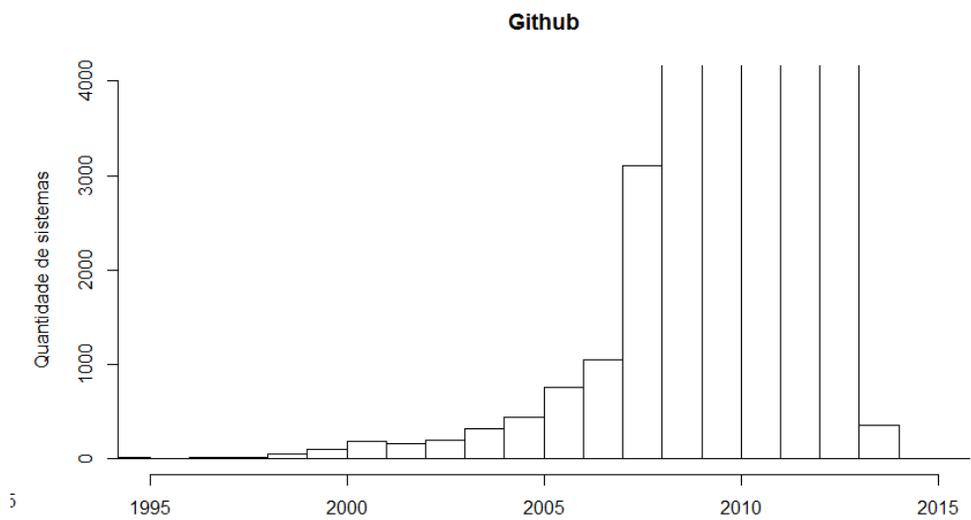
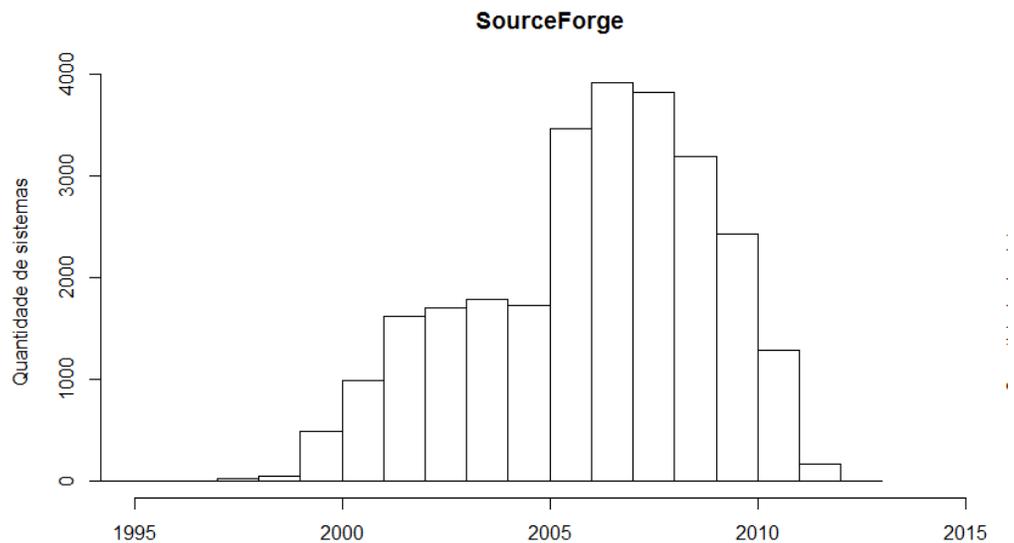
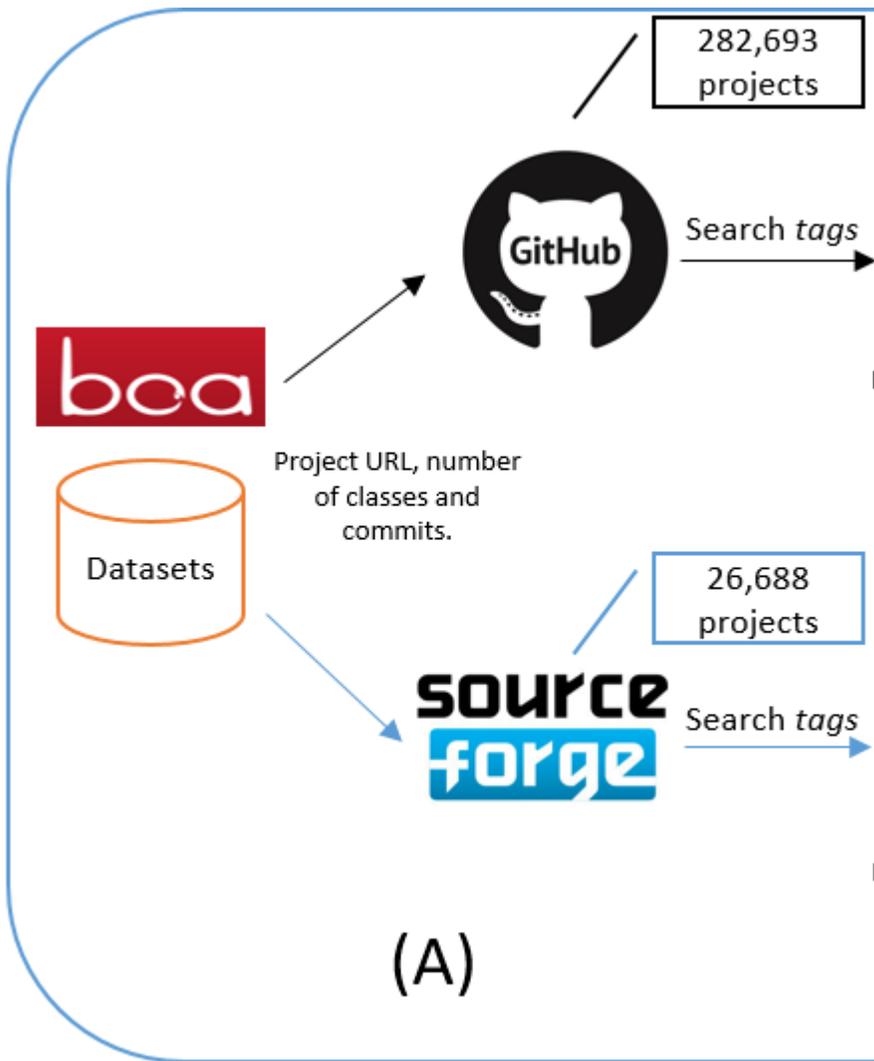
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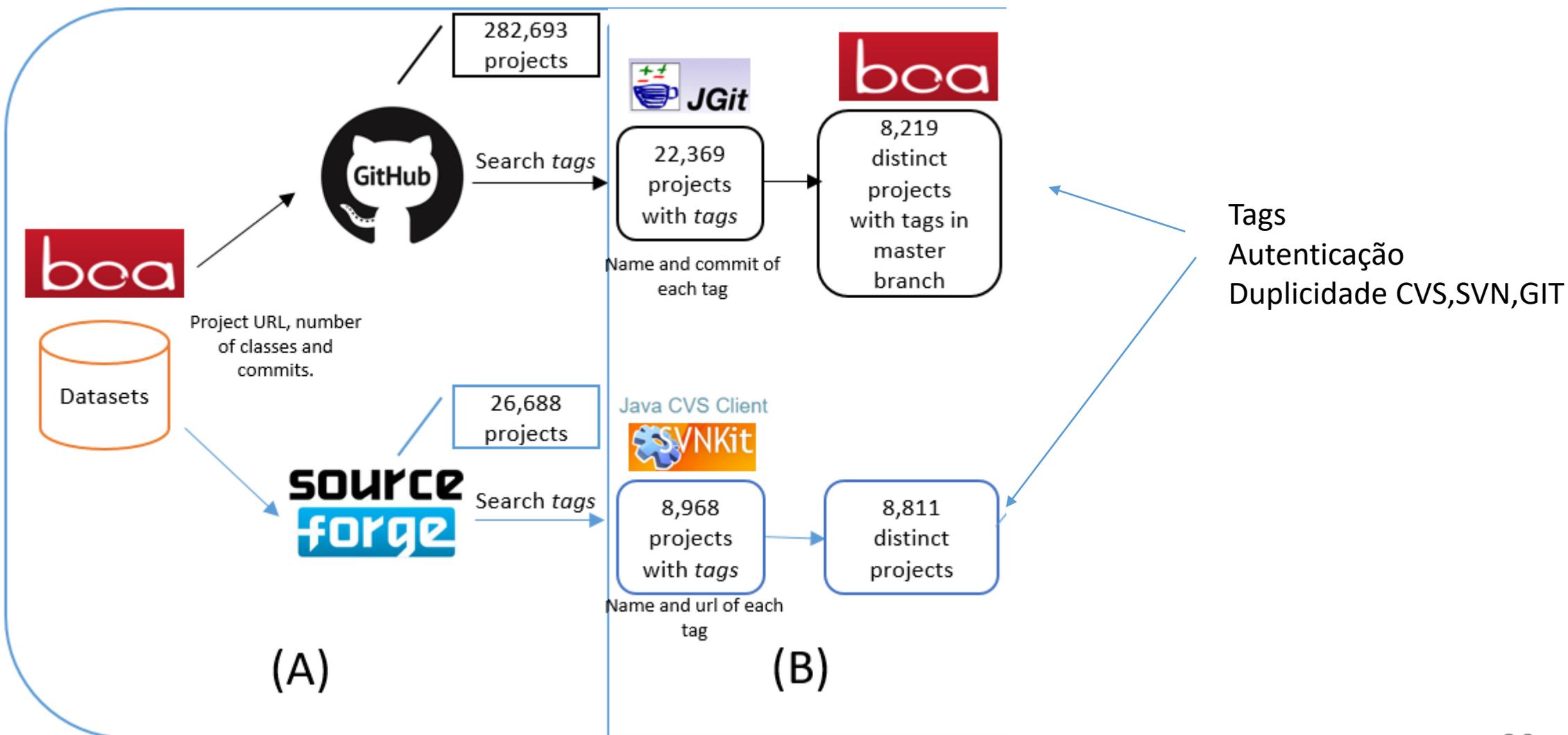
# Considera-se apenas classes internas

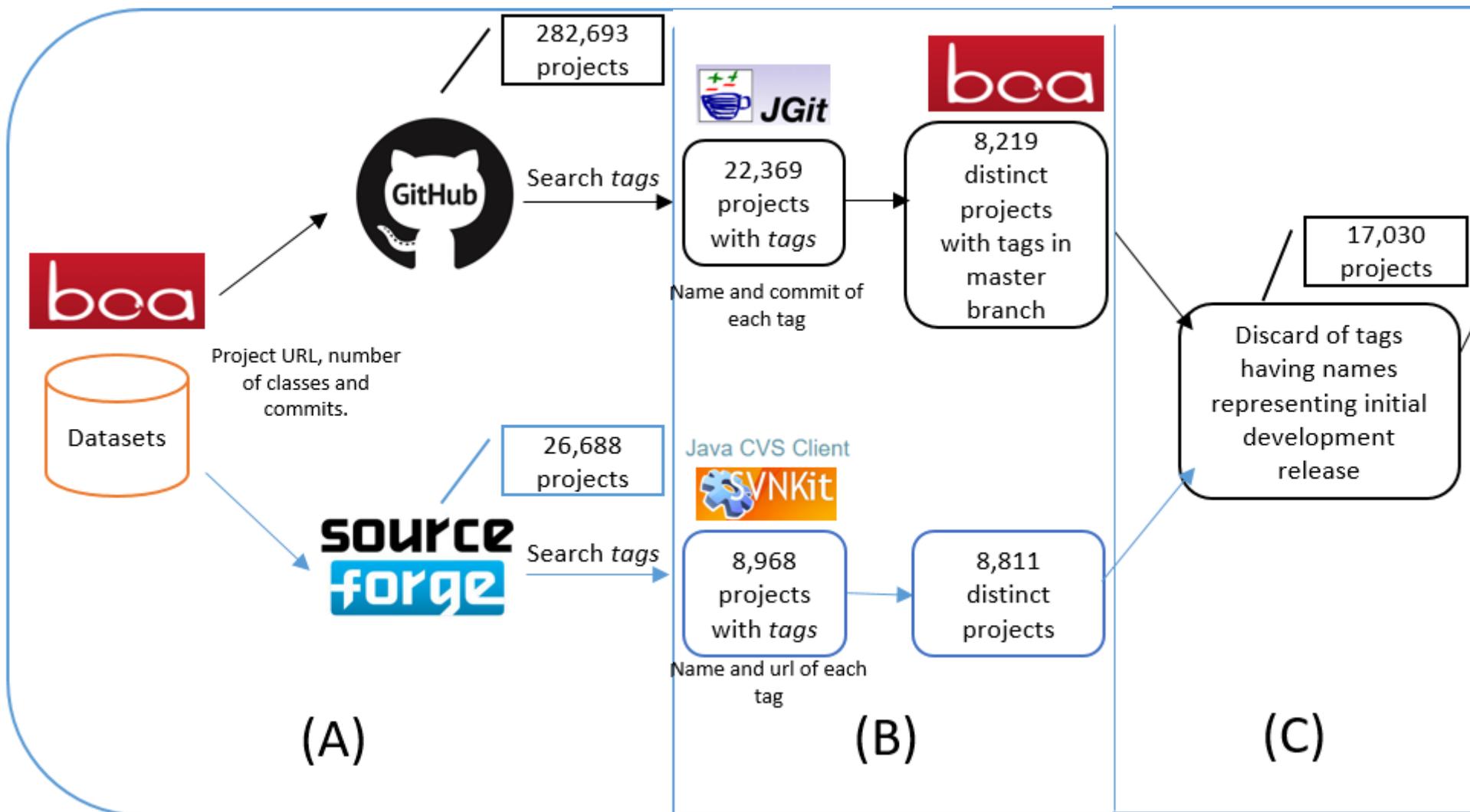


# Seleção dos Sistemas

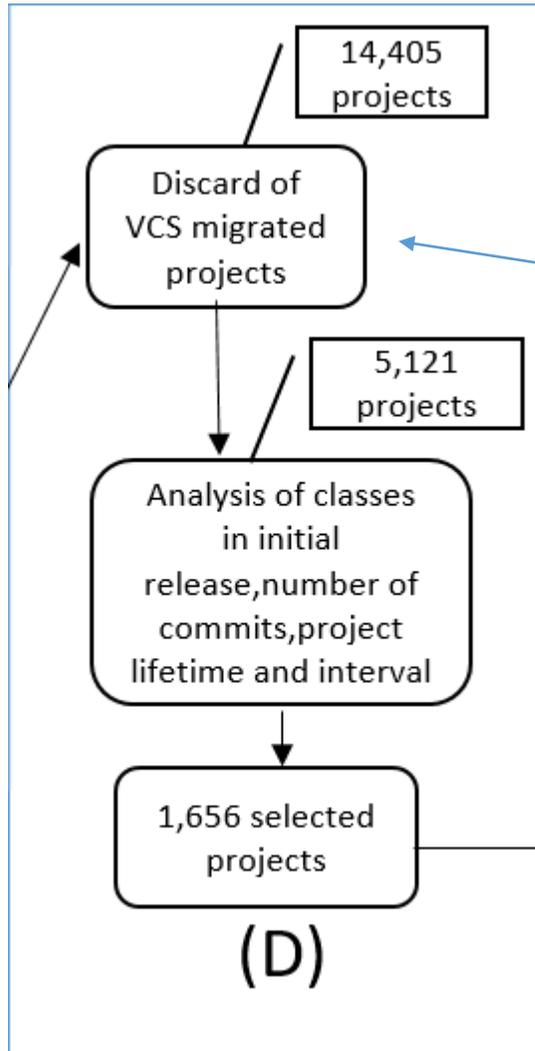




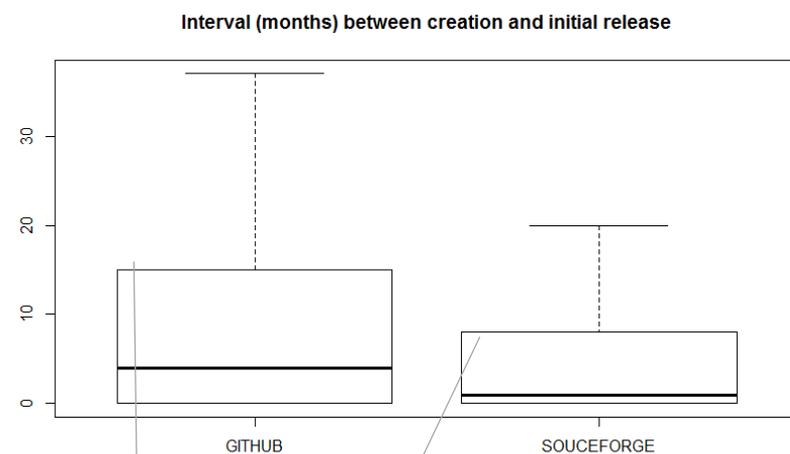
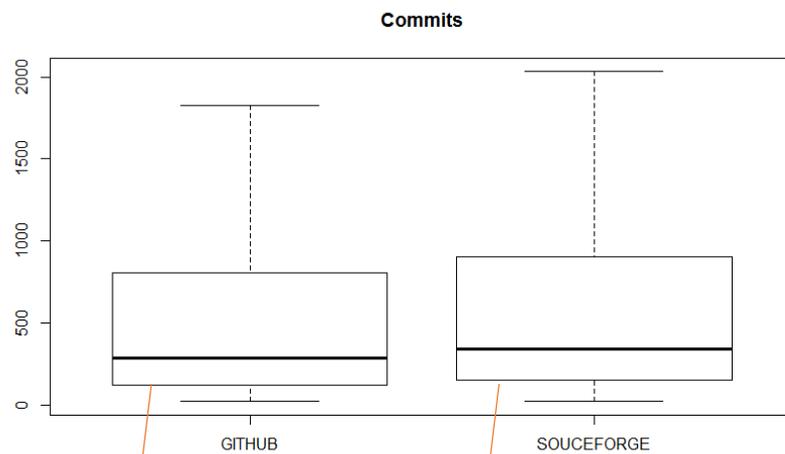
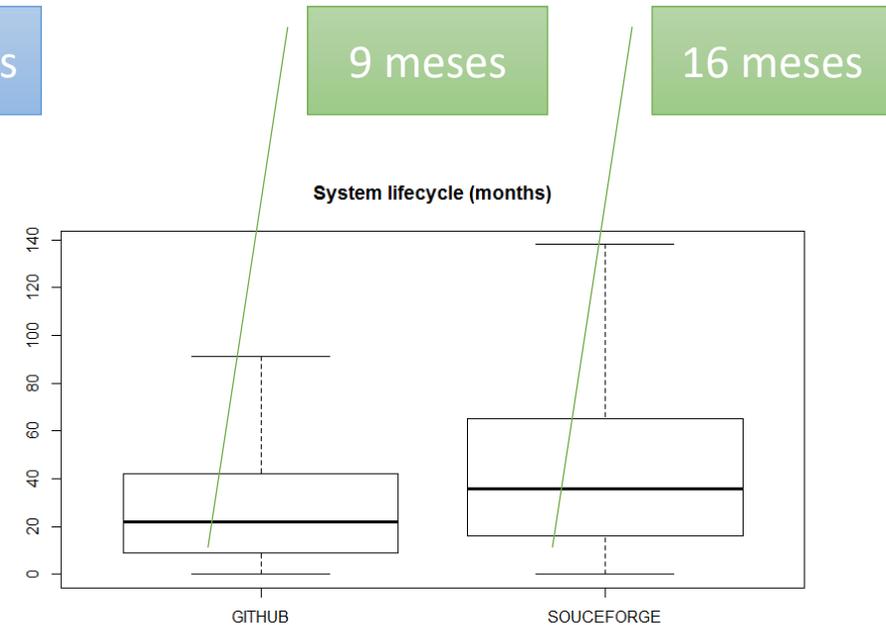
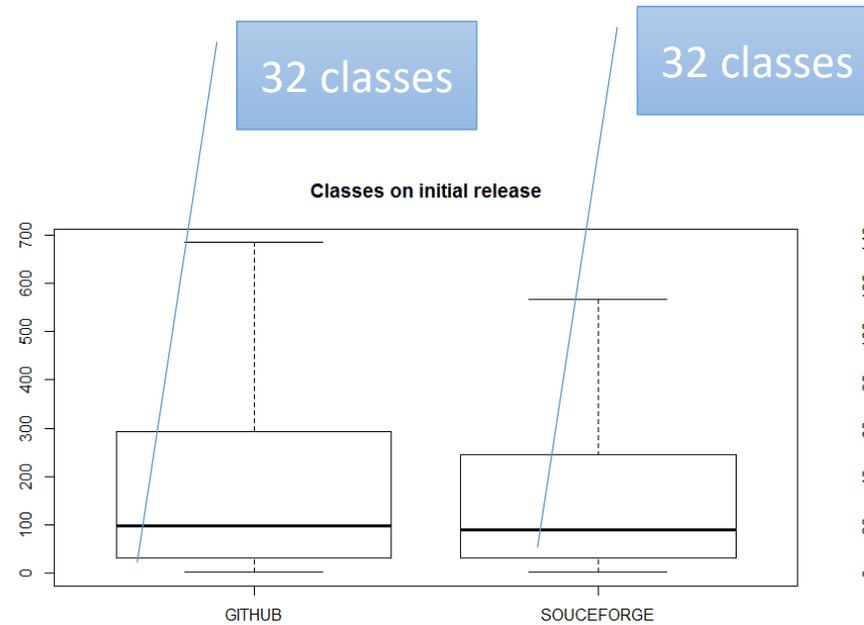
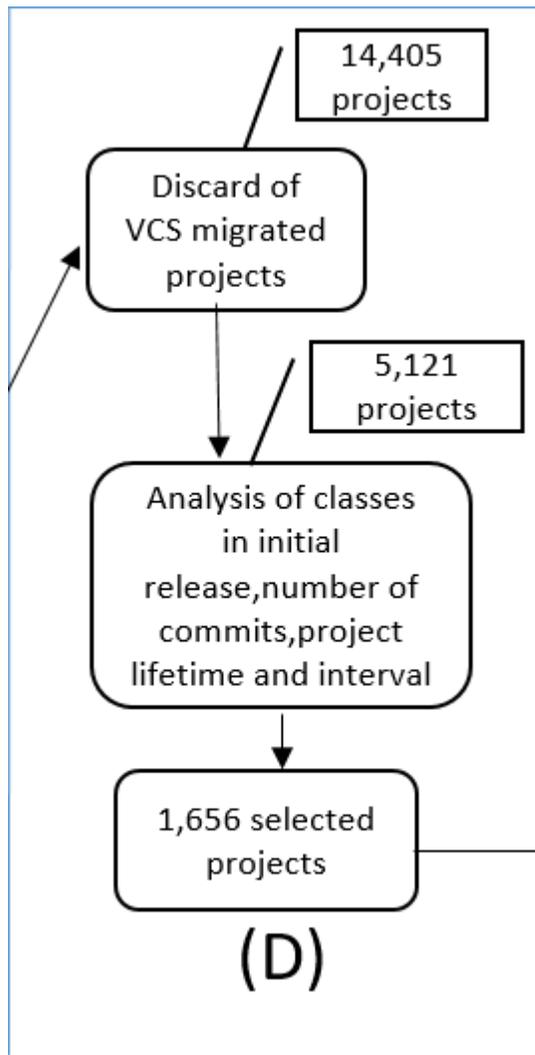




*start, initial, test, before, beta, alpha, pre, demo, old, init, none, dev, example, first import, experimental, hello world, inicio, readme, first commit, RC[0..9] e CR[0..9].*



50% do total de classes nos 20 primeiros commits



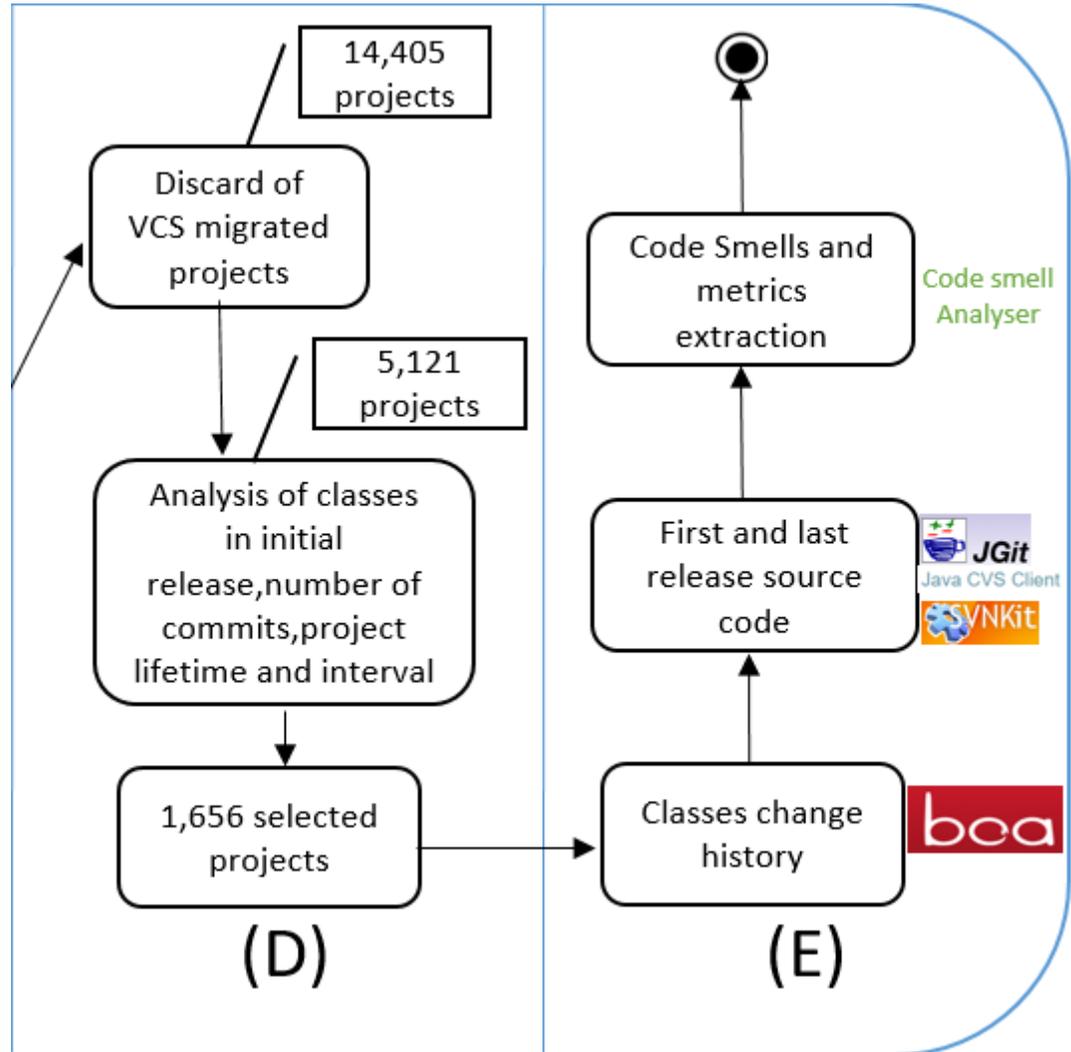
122  
commits

150  
commits

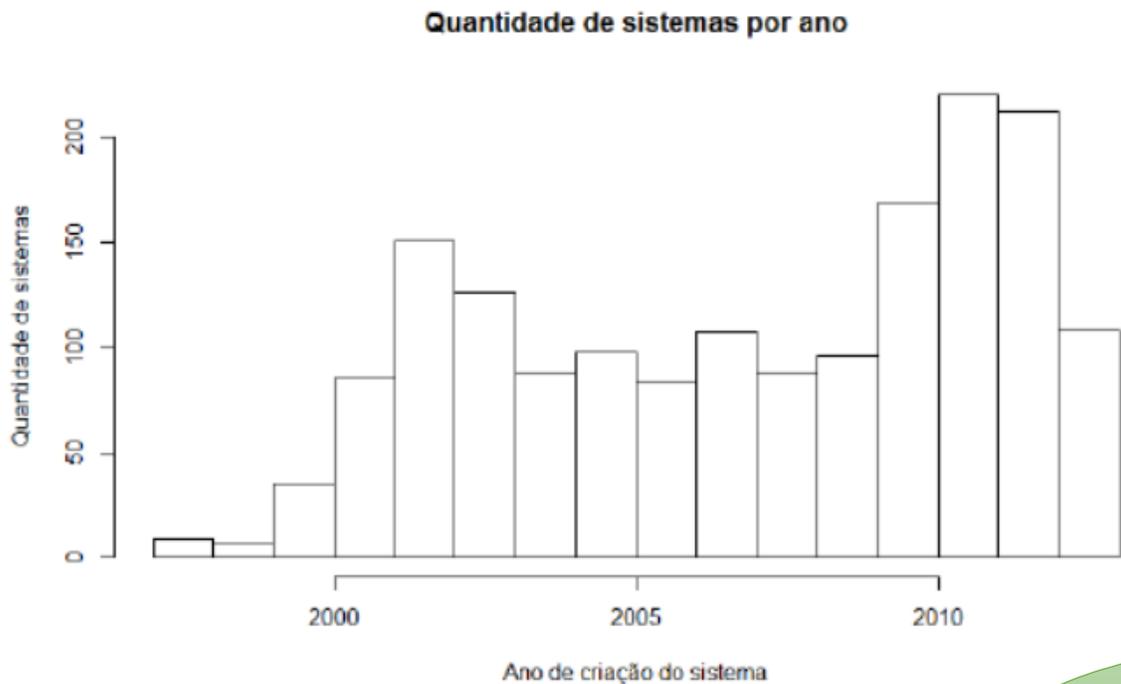
15 meses

8 meses

33



# Informações sobre os Sistemas



1.301.856  
commits

1.297.199  
classes &  
interfaces

1.656  
sistemas

# Modelagem dos dados

- 1) Consistência dos dados
- 2) Ligações entre entidades
- 3) Descartes
- 4) Geração de arquivos

Pacote da classe

```
1 package net.minecraft.src;
2
3 import java.io.FileInputStream;
4 import java.io.FileNotFoundException;
5 import java.io.FileOutputStream;
6 import java.io.IOException;
7 import java.util.List;
8 import java.util.Properties;
9 import java.util.Random;
10 import net.minecraft.client.Minecraft;
11 import org.lwjgl.input.Keyboard;
12 import org.lwjgl.input.Mouse;
13 import sun.util.logging.resources.logging;
14
15 public class ThxEntityHelicopter extends ThxEntity
16 {
17     static int instanceCount = 0;
18
19     // controls and options
20     // set from mod thx.properties
```

Imports

Superclasse

# Análise dos Dados – RQ #1

Arquitetura original do sistema

Influência da data da versão inicial do sistema sobre a quantidade de classes em herança ou implementando interfaces

$$\ln_{(INHER)} = INTERCEPT + \beta_1(CLASSES) + \beta_2(AGE)$$

$$\ln_{(INTER)} = INTERCEPT + \beta_1(CLASSES\_INTERFACE) + \beta_2(AGE)$$

Regressão Binomial Negativo (mesmo modelo da regressão de Poisson)

# Análise dos Dados – RQ #1

Avaliar a distribuição da proporção de classes com herança e implementação de interface

$$\begin{aligned} FATOR\_INHERITANCE &= \frac{CLASSES\_INHERITANCE}{CLASSES} \\ FATOR\_INTERFACE &= \frac{SUBCLASSES\_INTERFACE}{CLASSES\_INTERFACE} \end{aligned}$$

# Análise dos Dados – RQ #2

Histórico de  
alterações sobre as  
classes

Influência da data de criação do sistema sobre a quantidade de subclasses referenciadas pelo operador *instanceof*

$$\ln(\text{INSTANCEOF\_INHER}) = \text{INTERCEPT} + \beta_1(\text{SUBCLASSES}) + \beta_2(\text{CREATION})$$

$$\ln(\text{INSTANCEOF\_INTER}) = \text{INTERCEPT} + \beta_1(\text{IMPLEMENTS}) + \beta_2(\text{CREATION})$$

## Análise dos Dados – RQ #2

Avaliar a distribuição da proporção de classes em herança e implementação referenciados por instanceof

$$\begin{aligned} \text{FATOR\_INHERITANCE} &= \frac{\text{INSTANCEOF\_INHERITANCE}}{\text{SUBCLASSES}} \\ \text{FATOR\_INTERFACE} &= \frac{\text{INSTANCEOF\_INTERFACE}}{\text{IMPLEMENTS}} \end{aligned}$$

# Análise dos Dados – RQ #3

Histórico de  
alterações sobre as  
classes

Influência da data de criação do sistema sobre a quantidade de alterações corretivas sobre classes com e sem herança

$$\ln_{(FIXING\_INHER)} = INTERCEPT + \beta_1(FIXING) + \beta_2(CREATION)$$

$$\ln_{(FIXING\_NOINHER)} = INTERCEPT + \beta_1(FIXING) + \beta_2(CREATION)$$

$$\ln_{(FIXING\_INTER)} = INTERCEPT + \beta_1(FIXING) + \beta_2(CREATION)$$

$$\ln_{(FIXING\_NOINTER)} = INTERCEPT + \beta_1(FIXING) + \beta_2(CREATION)$$

## Análise dos Dados – RQ #3

Avaliar a distribuição da proporção de classes em herança e implementação de interfaces que possuem mudanças corretivas

$$FATOR\_INHERITANCE = \frac{FIXING\_INHERITANCE}{FIXING}$$

$$FATOR\_INTERFACE = \frac{FIXING\_INTERFACE}{FIXING}$$

# Análise dos Dados – RQ #4

Arquiteturas original e final do sistema

Comparação das classes para cada métrica (teste *Mann-Whitney U*)

Com e sem herança

Com e sem implementação de interfaces

# Análise dos Dados – RQ #5

Arquiteturas original e final do sistema

- 1) Construção de duas tabelas de contingência (versões inicial e final)  
Com e sem herança/implementação de interfaces  
Com e sem a ocorrência de determinado Code Smell
- 2) Aplicações dos testes *Fisher* e *Qui Quadrado*

# Análise dos Dados – RQ #6

Histórico de  
alterações sobre as  
classes

- 1) Análise do Histórico de Commits das classes
  - a) Sempre
  - b) Perdeu
  - c) Depois
  - d) Nunca

# Análise dos Dados – RQ #6

2) Análise qualitativa sobre as classes que adicionaram e perderam herança e interface

2.1) Seleção de 40 alterações em 40 sistemas.

2.2) Diagramas de classe e avaliação das mensagens de commit.

2.3) Codificação dos temas para cada alteração

2.4) Hierarquização dos temas

# ROTEIRO

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# Resultados – RQ #1

$e^{0,0020224} = 1,002$

Pr(Chi) =  $6.462469e^{-05}$

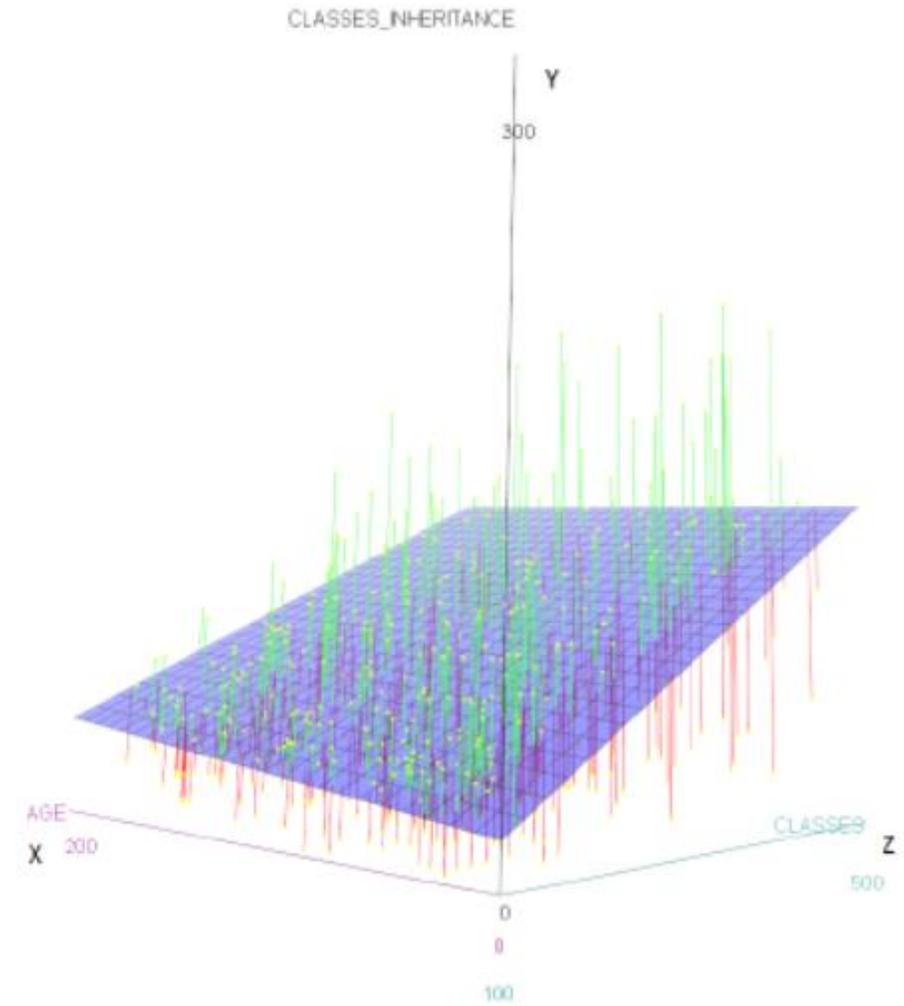
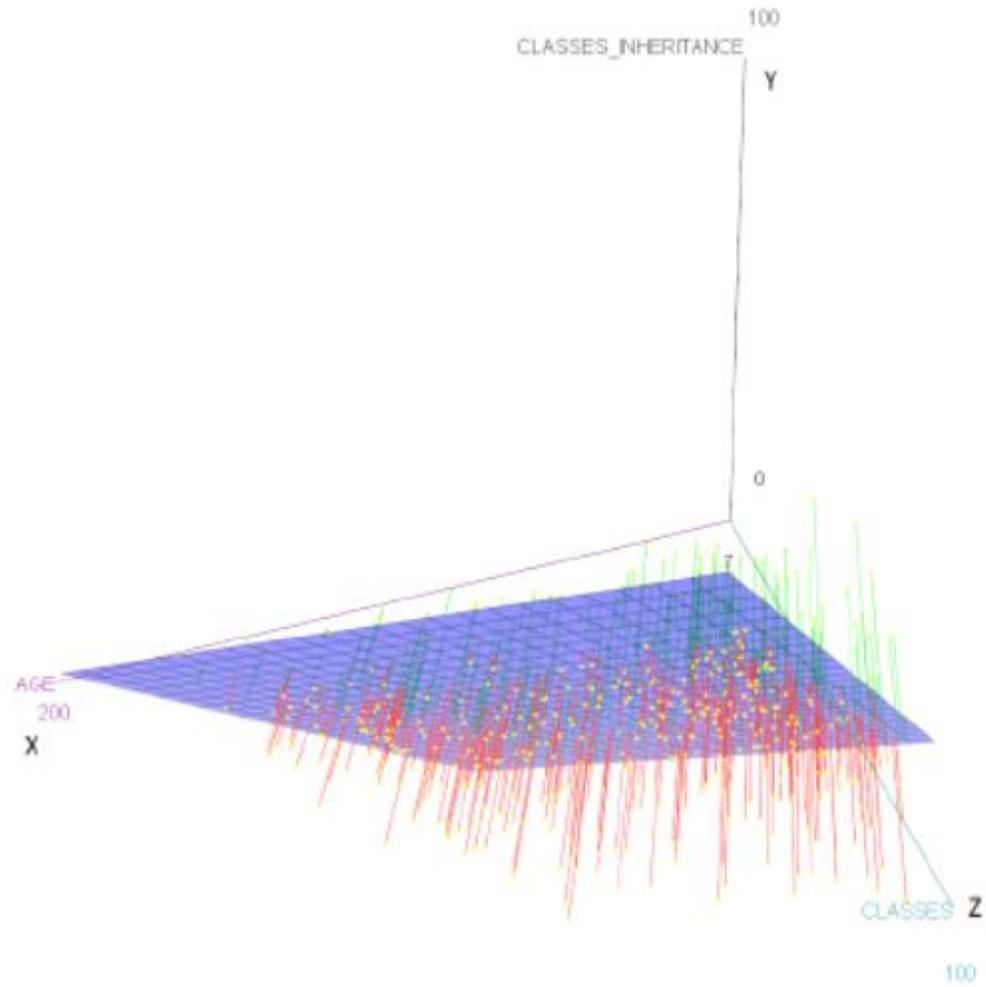
	Estimate	Std. Error	z value	Pr(>  z )
(Intercept)	3,0155966	0,0512405	58.852	< 2e-16 ***
CLASSES	0,0032857	0,0000574	57.241	< 2e-16 ***
AGE	0,0020224	0,0005005	4.041	5.32e-05 ***

	Estimate	Std. Error	z value	Pr(>  z )
(Intercept)	2,704e+00	5,602e-02	48.266	< 2e-16 ***
CLASSES_INTERFACE	2,880e-03	5,553e-05	51.857	< 2e-16 ***
AGE	2,240e-03	5,472e-04	4.094	4.25e-05 ***

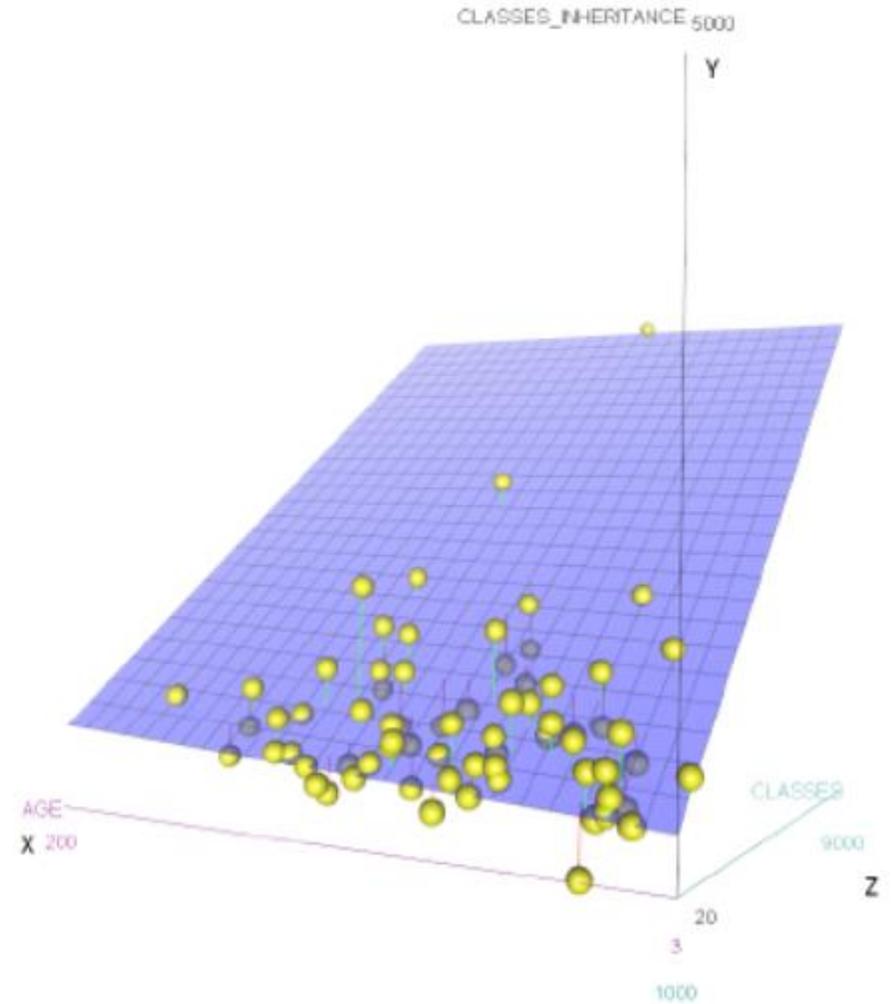
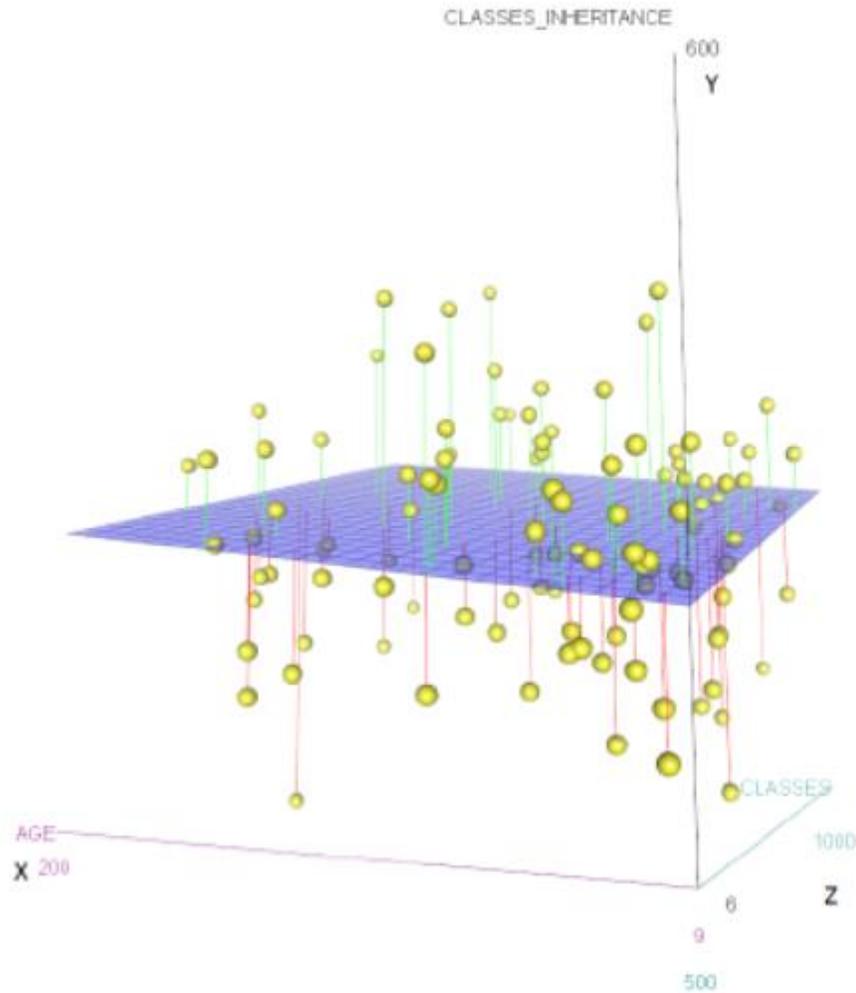
Pr(Chi) =  $6.326497e^{-05}$

$e^{2,240e-03} = 1,002$

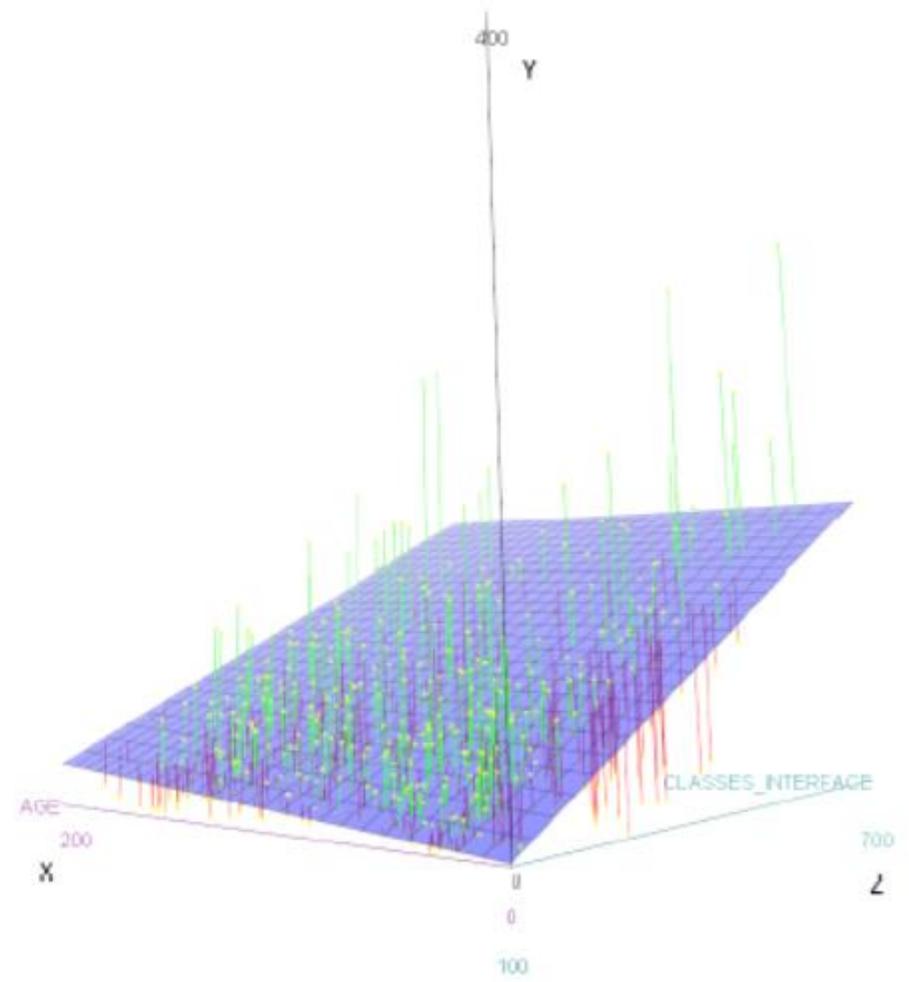
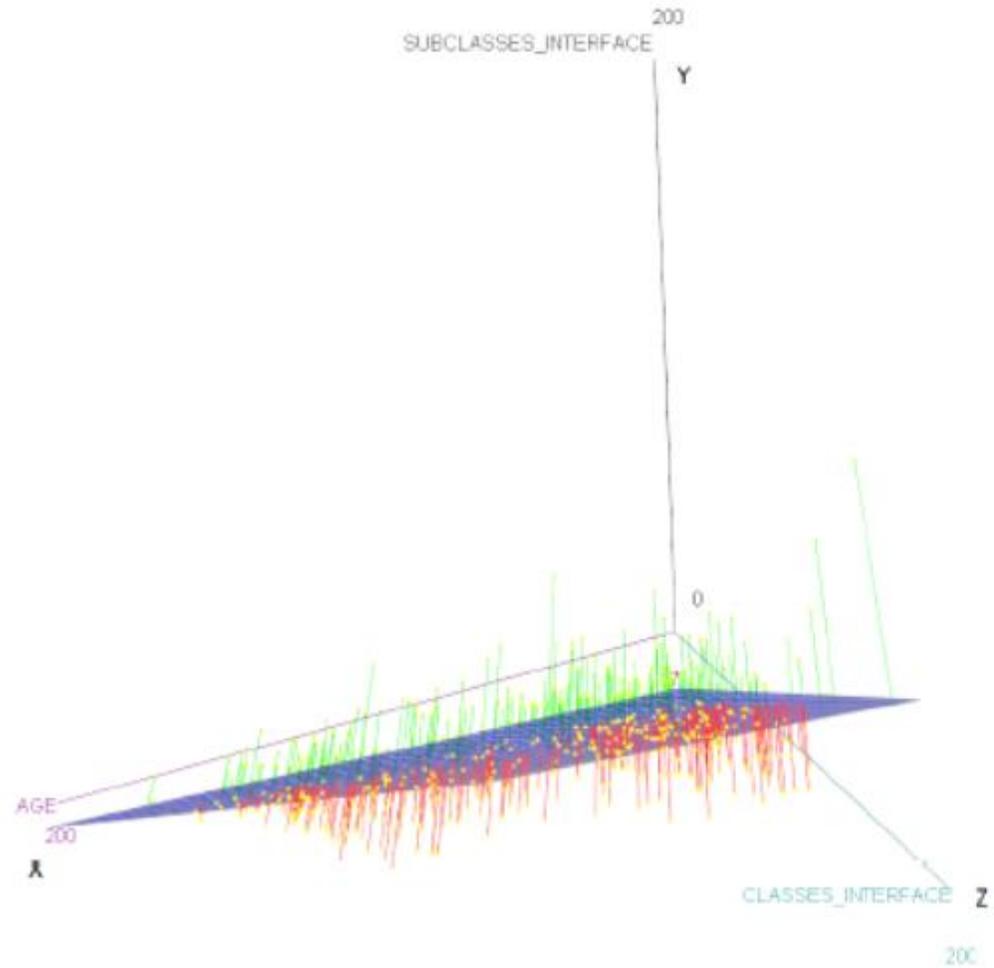
# Resultados – RQ #1



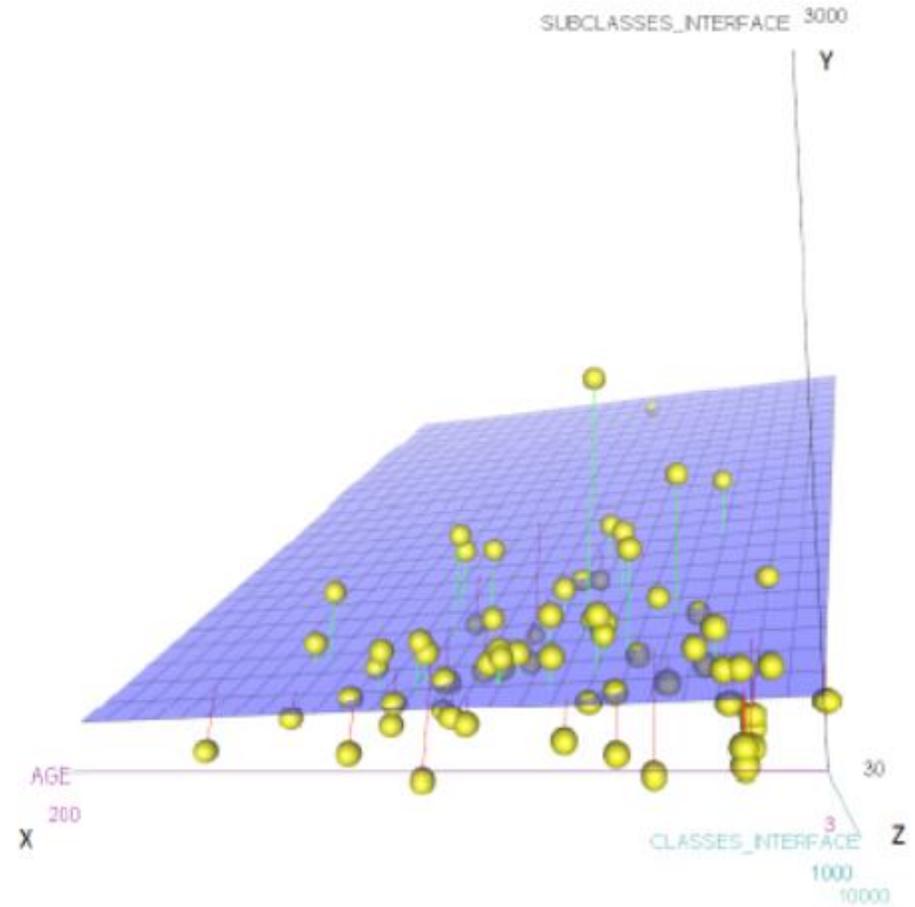
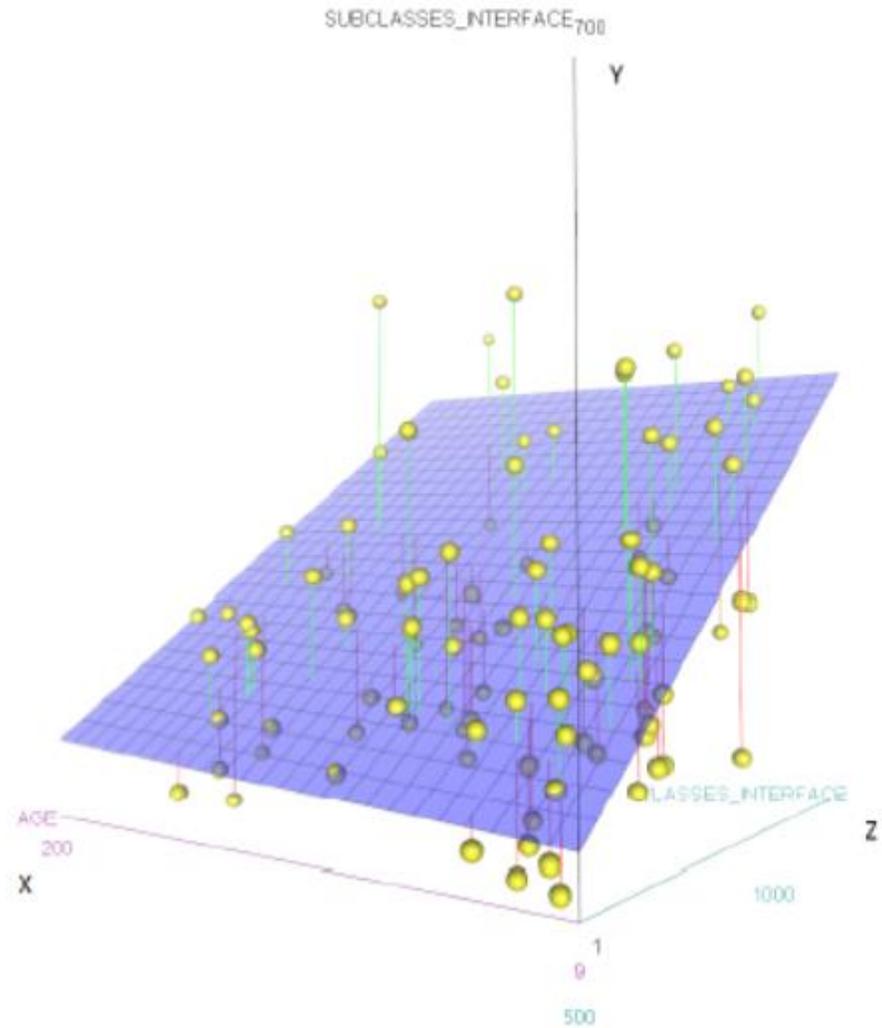
# Resultados – RQ #1



# Resultados – RQ #1

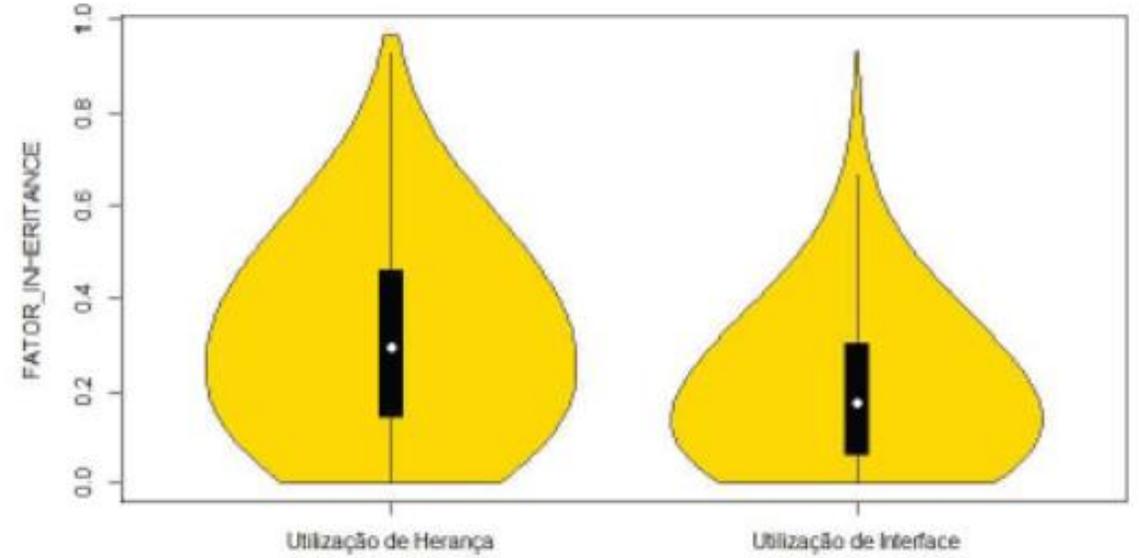
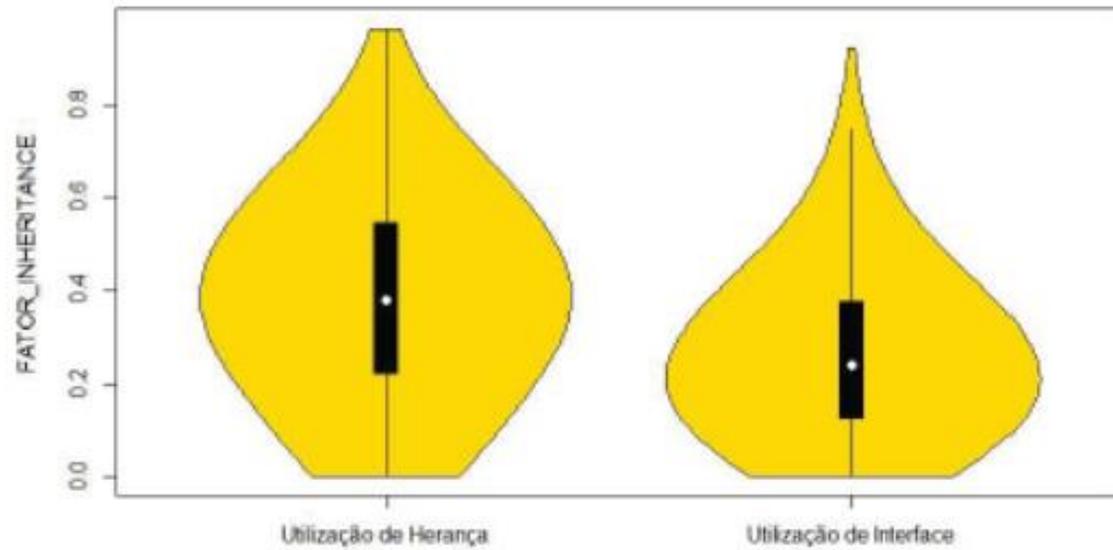


# Resultados – RQ #1



# Resultados – RQ #1

Março-2009



# Resultados – RQ #2

Pr(Chi) =  $2.220446e^{-16}$

$e^{7,282e-03} = 1,007$

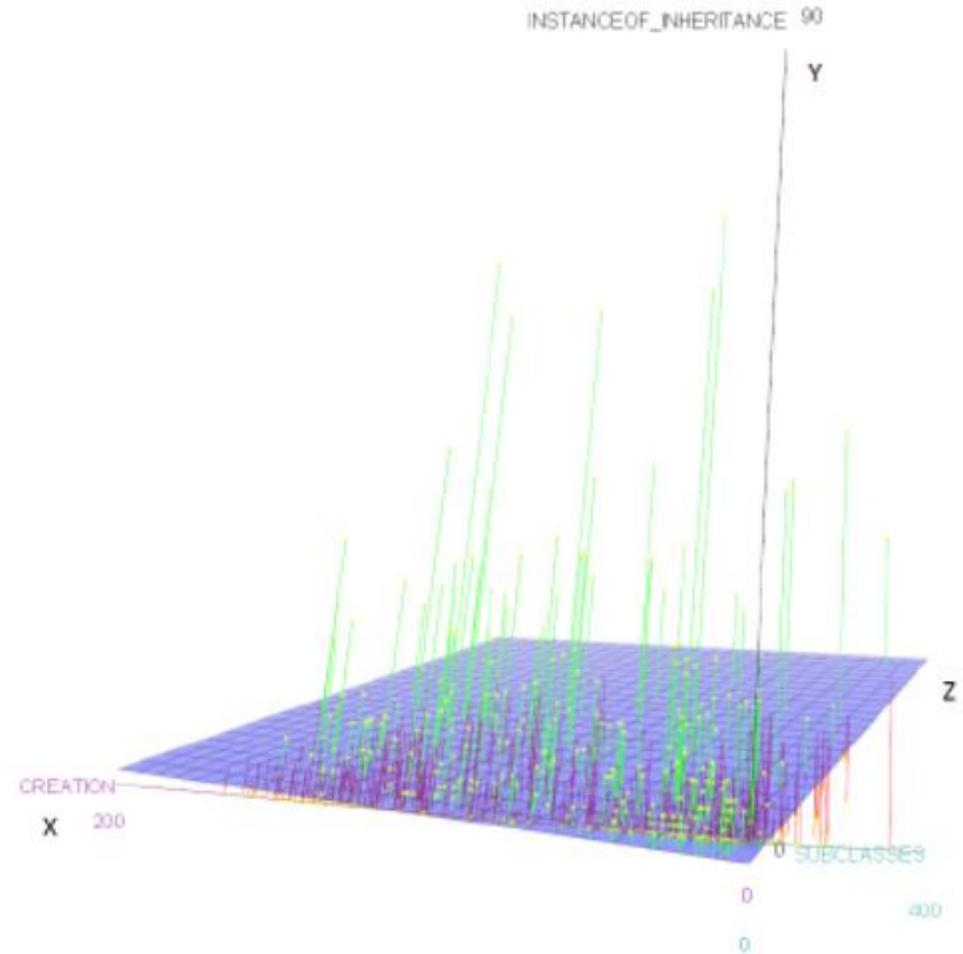
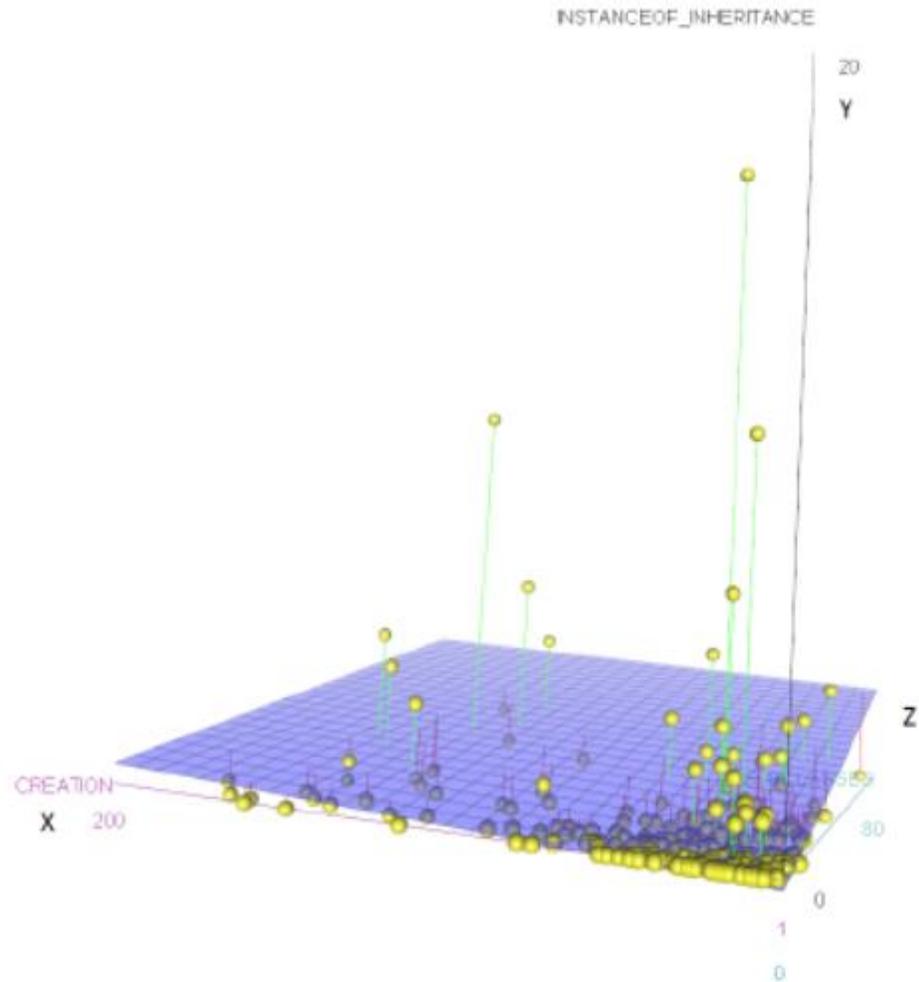
	Estimate	Std. Error	z value	Pr(>  z )
(Intercept)	1,059e+00	7,283e-02	14.543	<2e-16 ***
SUBCLASSES	2,685e-03	6,663e-05	40.304	<2e-16 ***
CREATION	7,282e-03	8,518e-04	8.549	<2e-16 ***

	Estimate	Std. Error	z value	Pr(>  z )
(Intercept)	5,139e-01	7,177e-02	7.161	8,02e-13 ***
IMPLEMENTS	4,421e-03	9,317e-05	47.453	< 2e-16 ***
CREATION	8,888e-03	8,278e-04	10.737	< 2e-16 ***

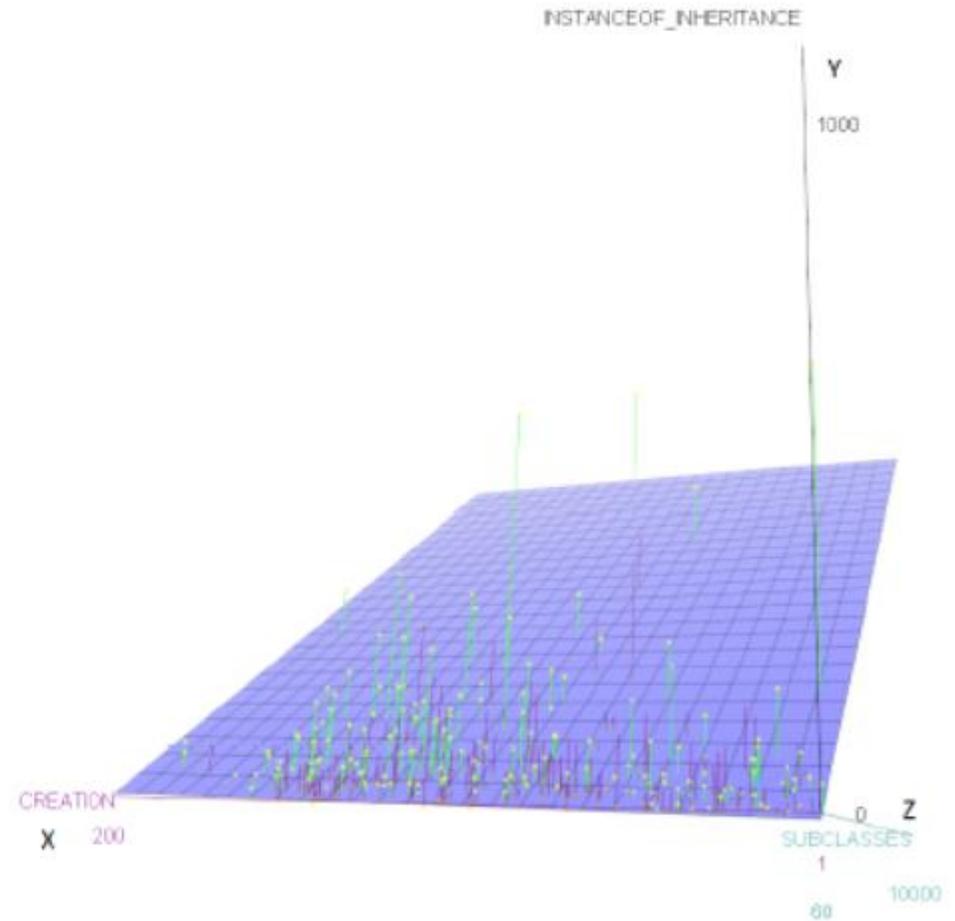
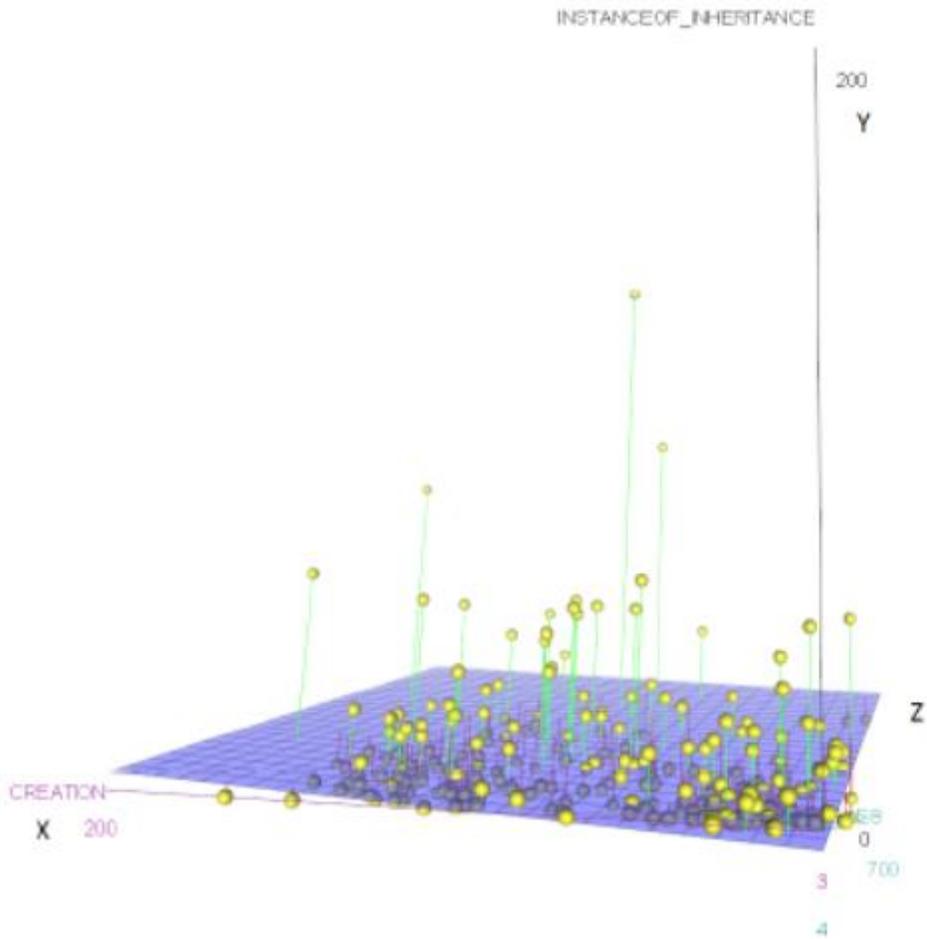
Pr(Chi) = 0

$e^{8,888e-03} = 1,008$

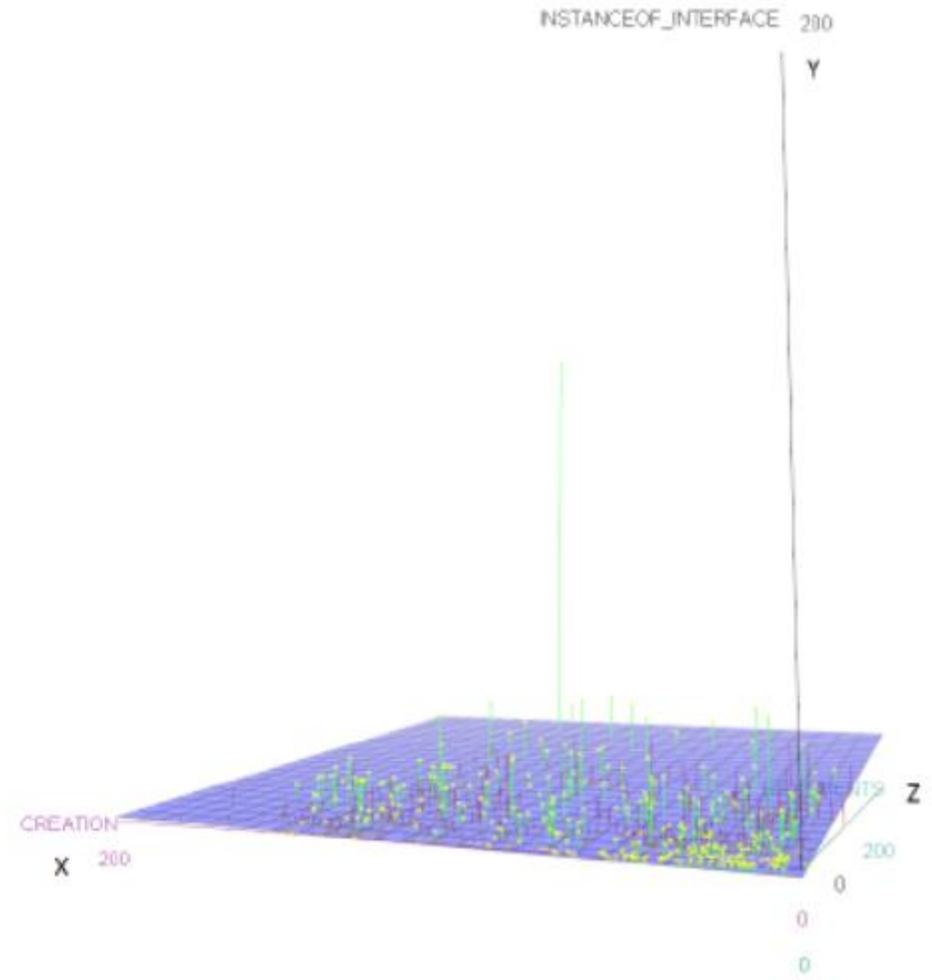
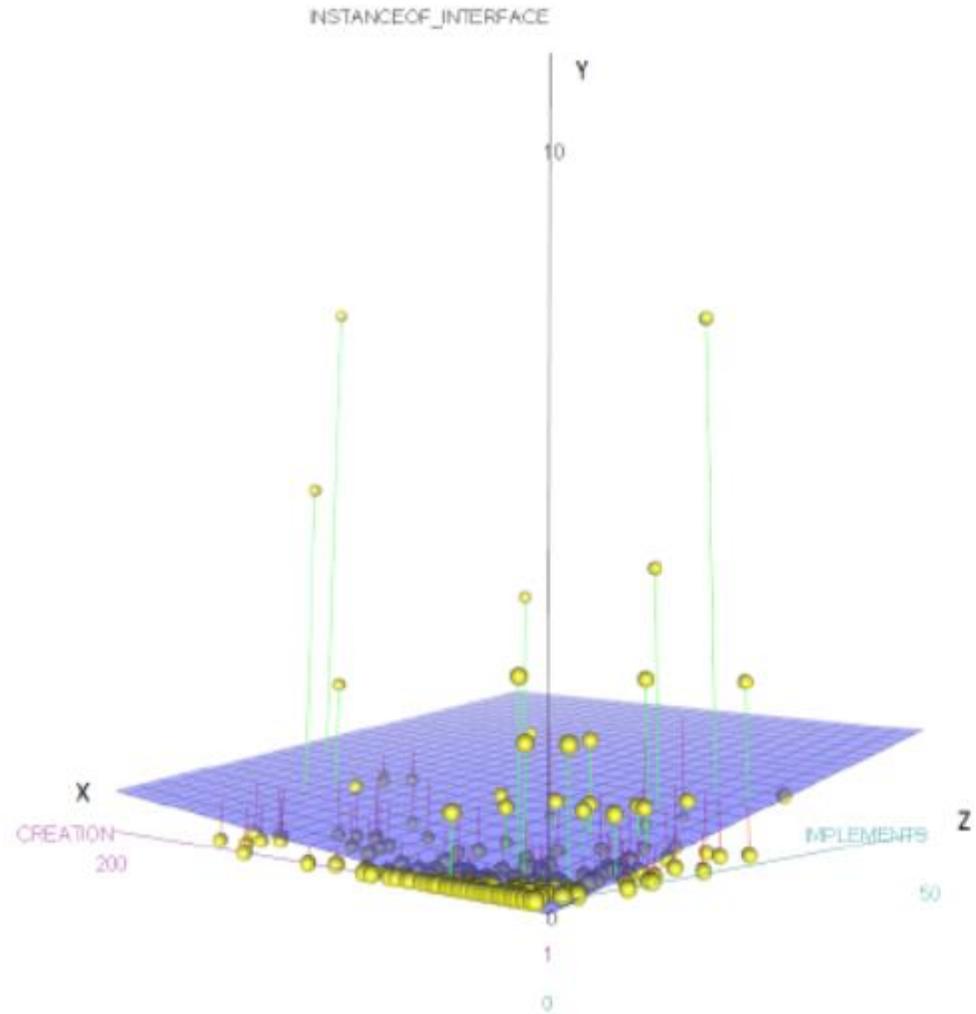
# Resultados – RQ #2



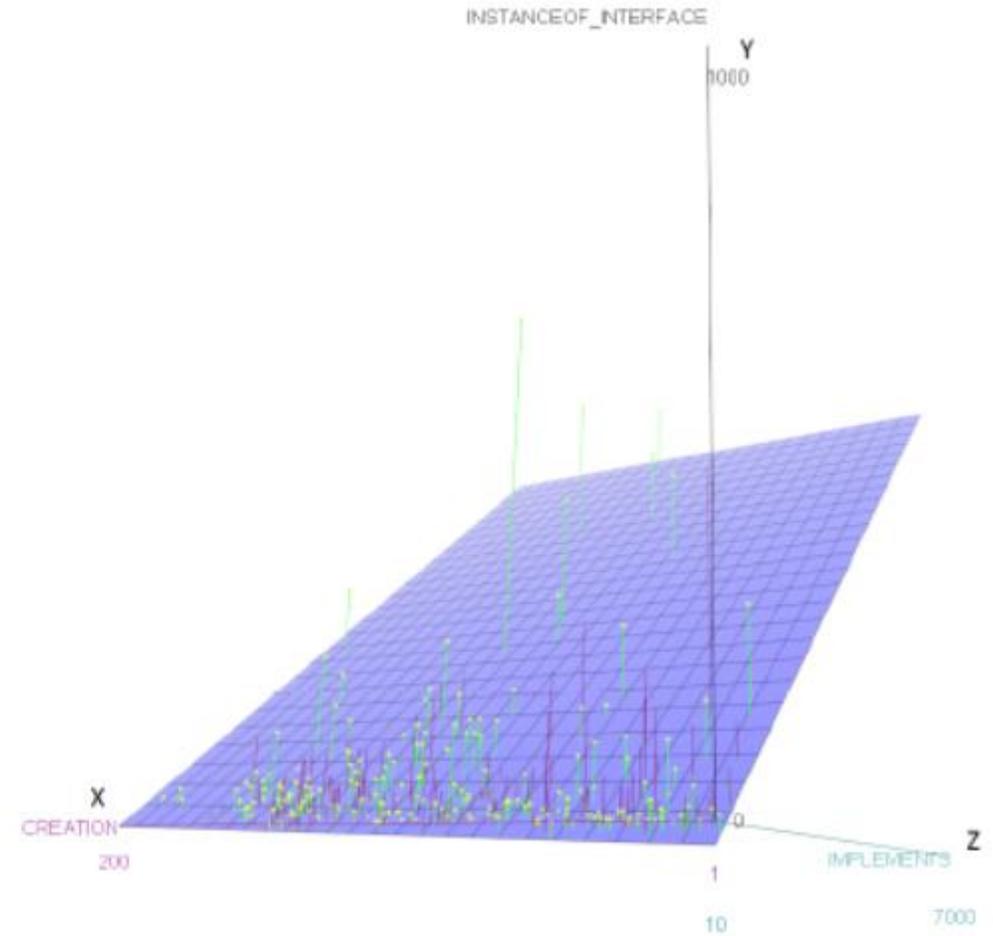
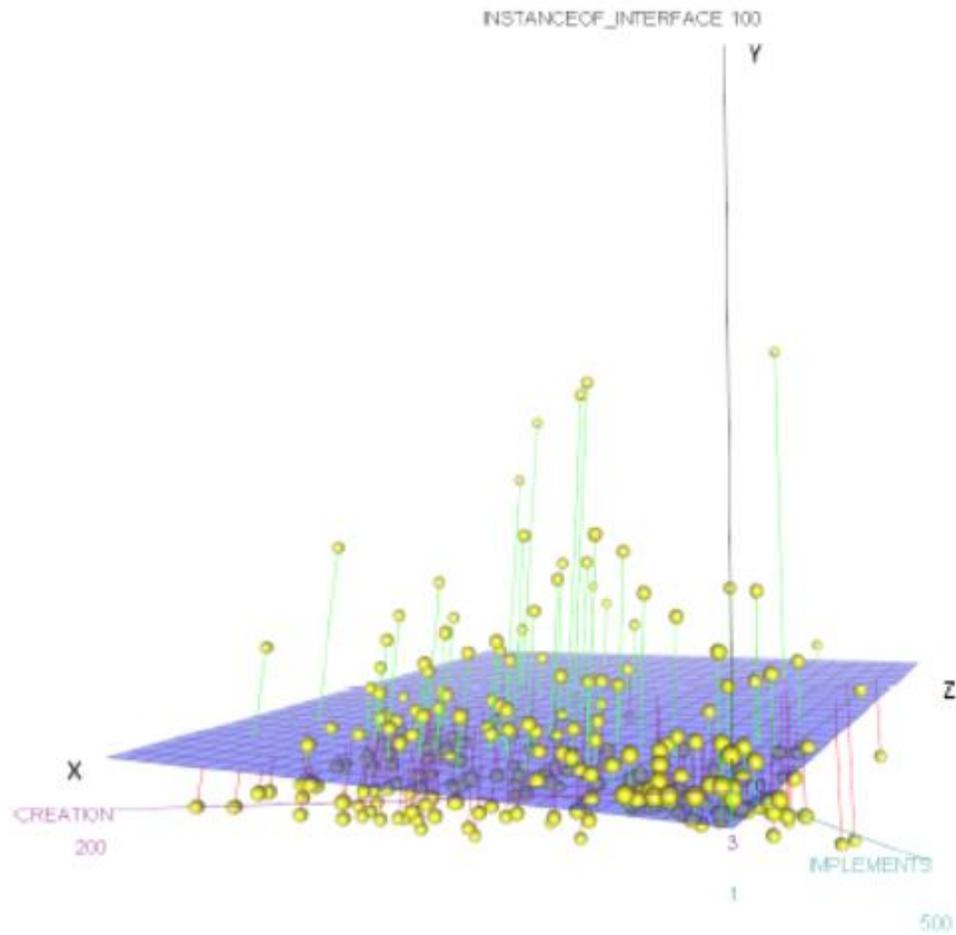
# Resultados – RQ #2



# Resultados – RQ #2

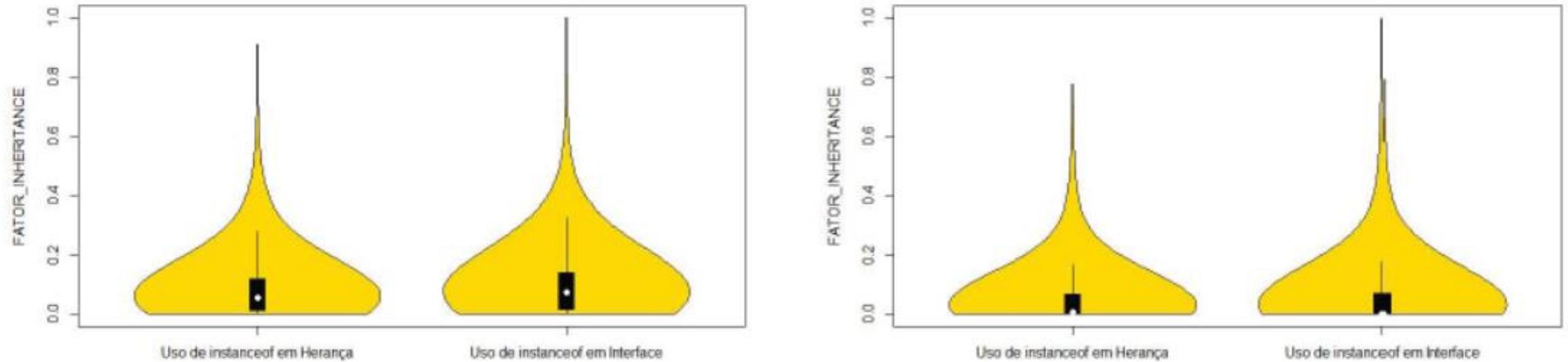


# Resultados – RQ #2



# Resultados – RQ #2

Julho-2008



# Resultados – RQ #3

$$e^{1,763e-03} = 1,001$$

Pr(Chi) = 0.0016

Pr(Chi) = 0.0422

Pr(Chi) =  
5.009351e-06

Pr(Chi) = 0.036

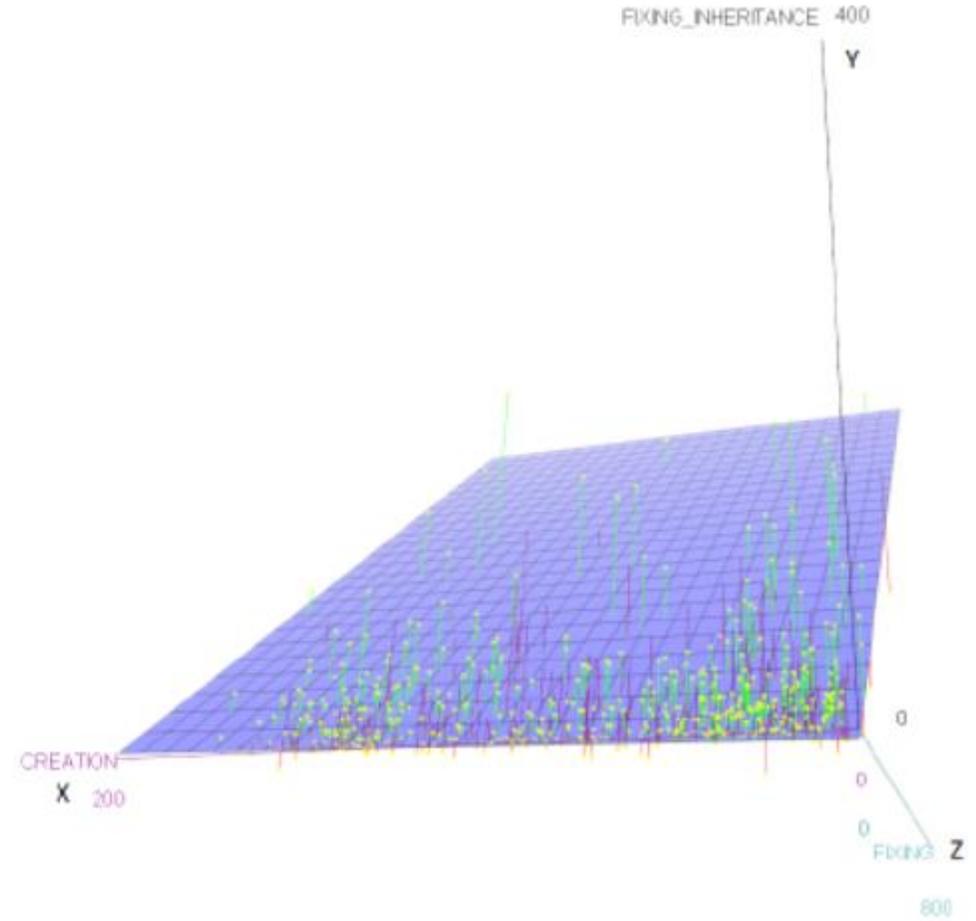
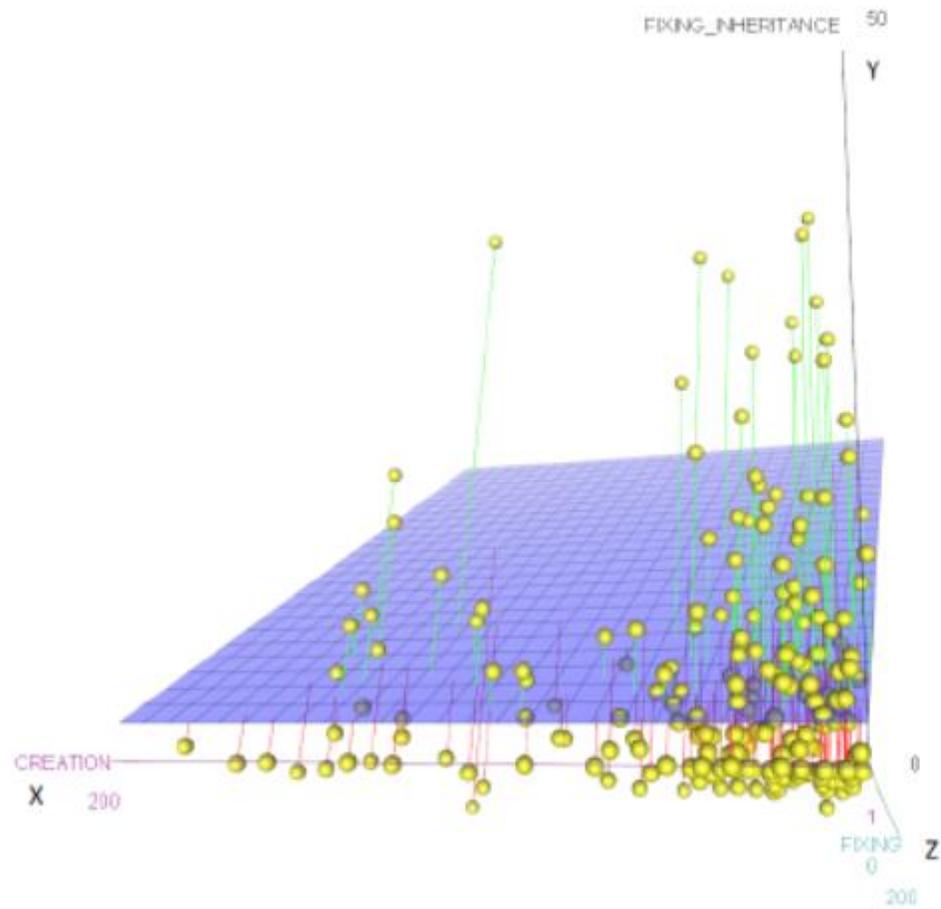
	Estimate	Std. Error	z value	Pr(>  z )
Inheritance				
(Intercept)	2.737e+00	4.883e-02	56,048	< 2e-16 ***
FIXING	4.844e-03	8.149e-05	59,450	< 2e-16 ***
CREATION	1.763e-03	5.750e-04	3,067	0.00216 **
Without Inheritance				
(Intercept)	3.168e+00	4.086e-02	77.528	<2e-16 ***
FIXING	4.018e-03	6.817e-05	58.933	<2e-16 ***
CREATION	-9.758e-04	4.823e-04	-2.023	0.0431 *
Interface				
(Intercept)	2.357e+00	5.360e-02	43,970	<2e-16 ***
FIXING	4.606e-03	8.917e-05	51,660	<2e-16 ***
CREATION	2.832e-03	6.303e-04	4,494	7e-06 ***
Without Interface				
(Intercept)	3.352e+00	3.971e-02	84.416	<2e-16 ***
FIXING	4.317e-03	6.639e-05	65.032	<2e-16 ***
CREATION	-9.671e-04	4.687e-04	-2.064	0.0391 *

$$e^{2832e-03} = 1,002$$

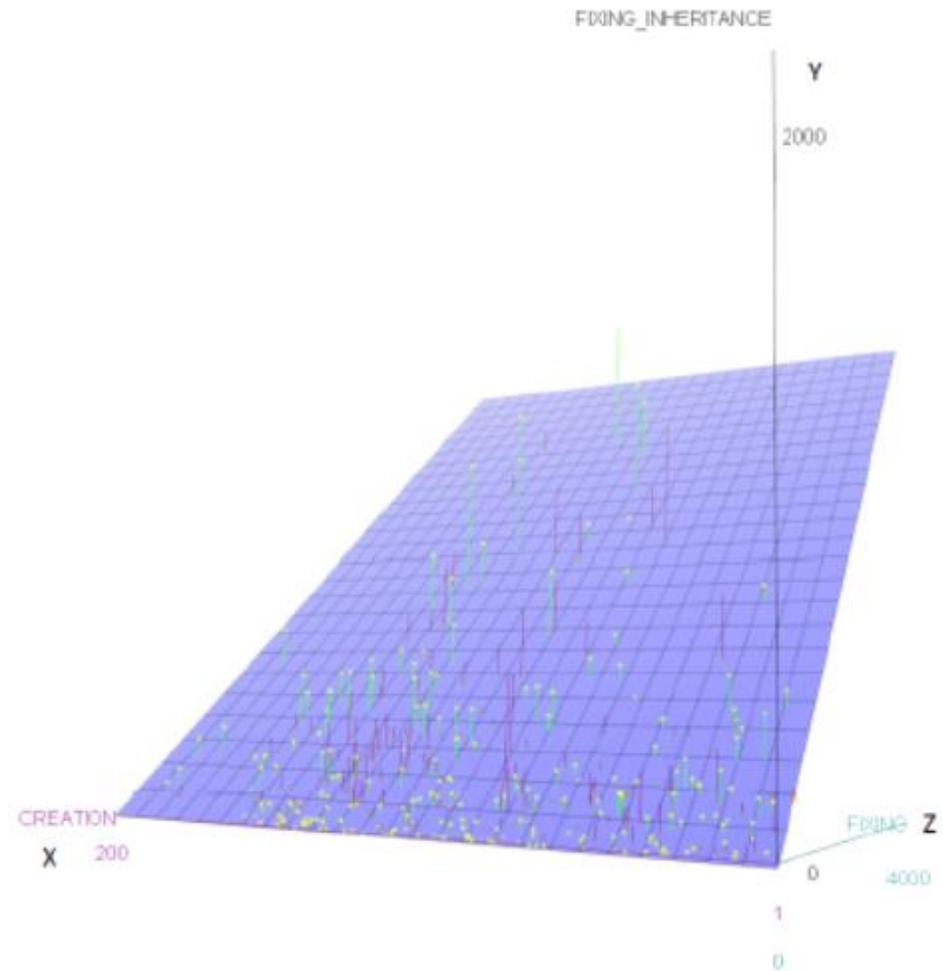
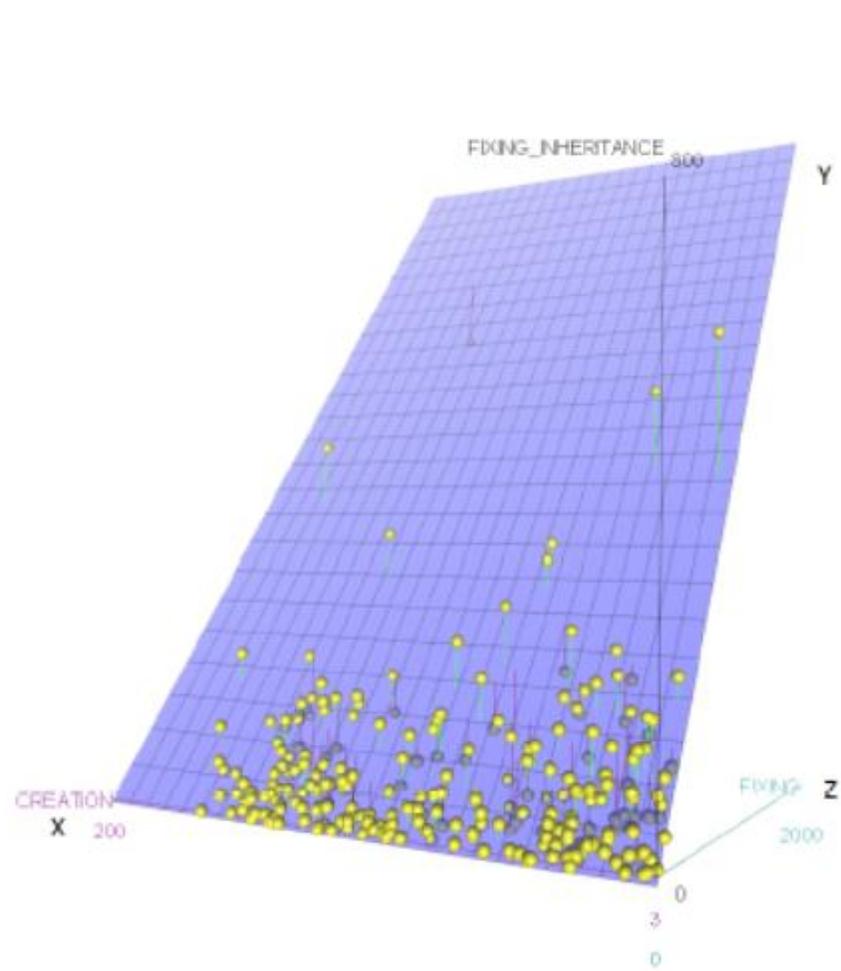
$$e^{-9671e-04} = -1,0009$$

$$e^{-9758e-04} = -1,0009$$

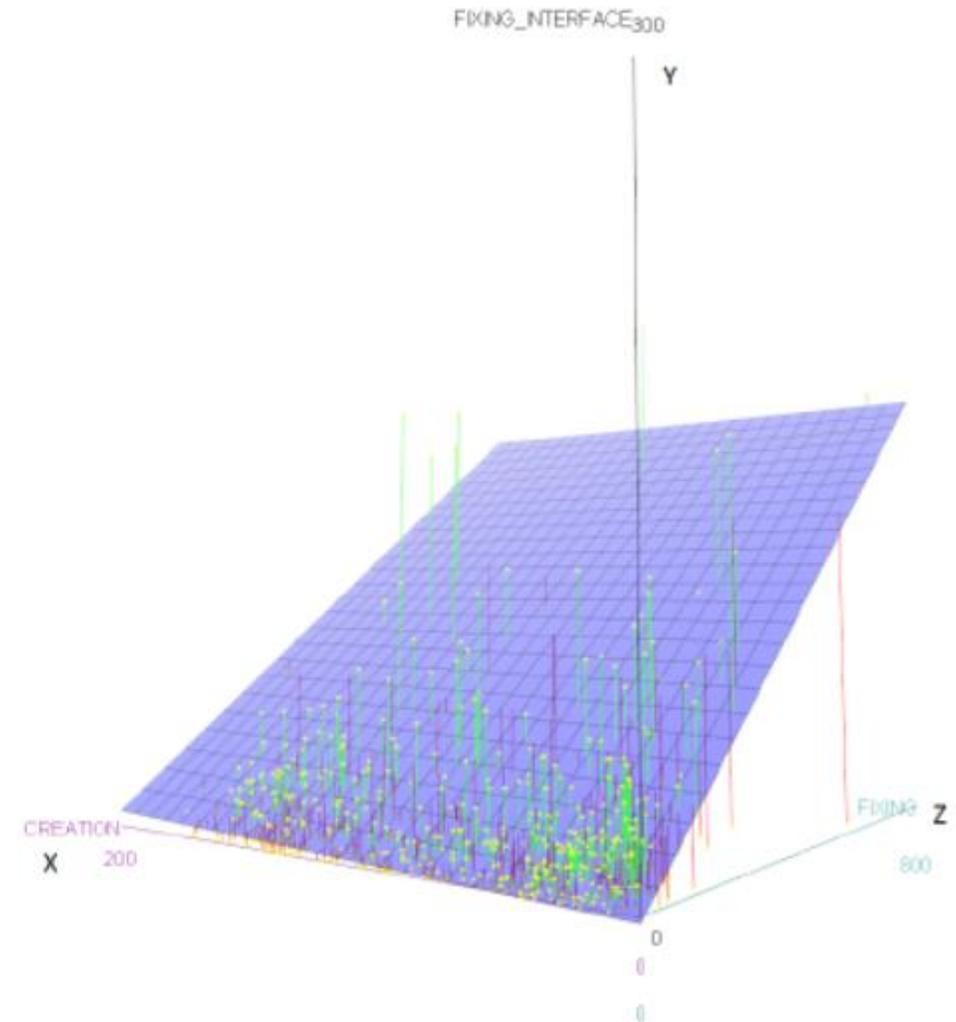
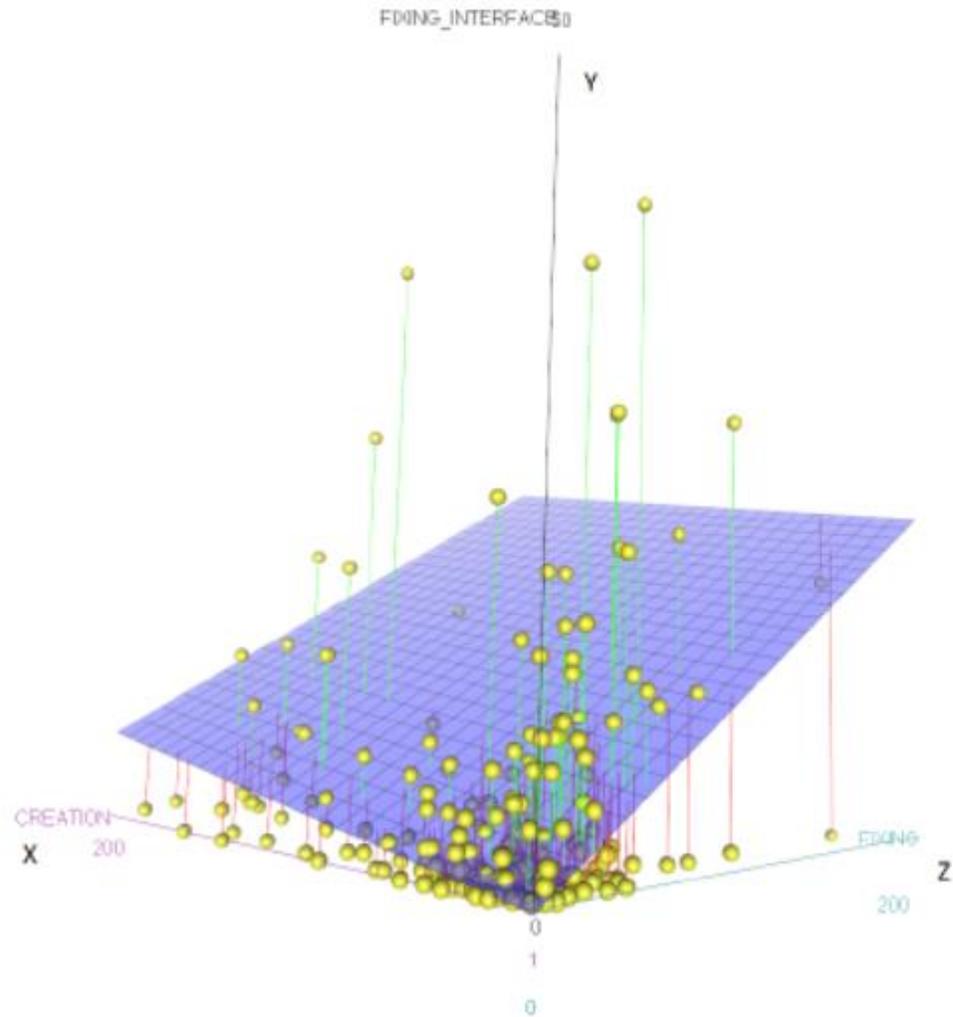
# Resultados – RQ #3



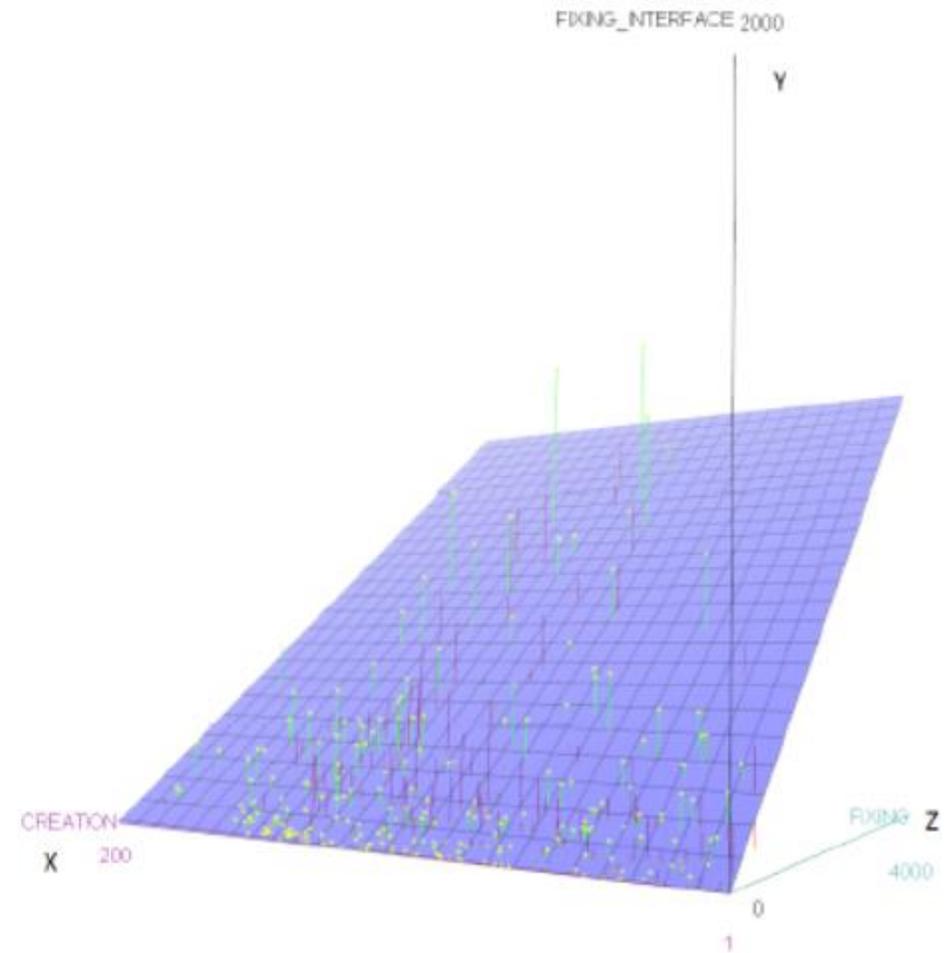
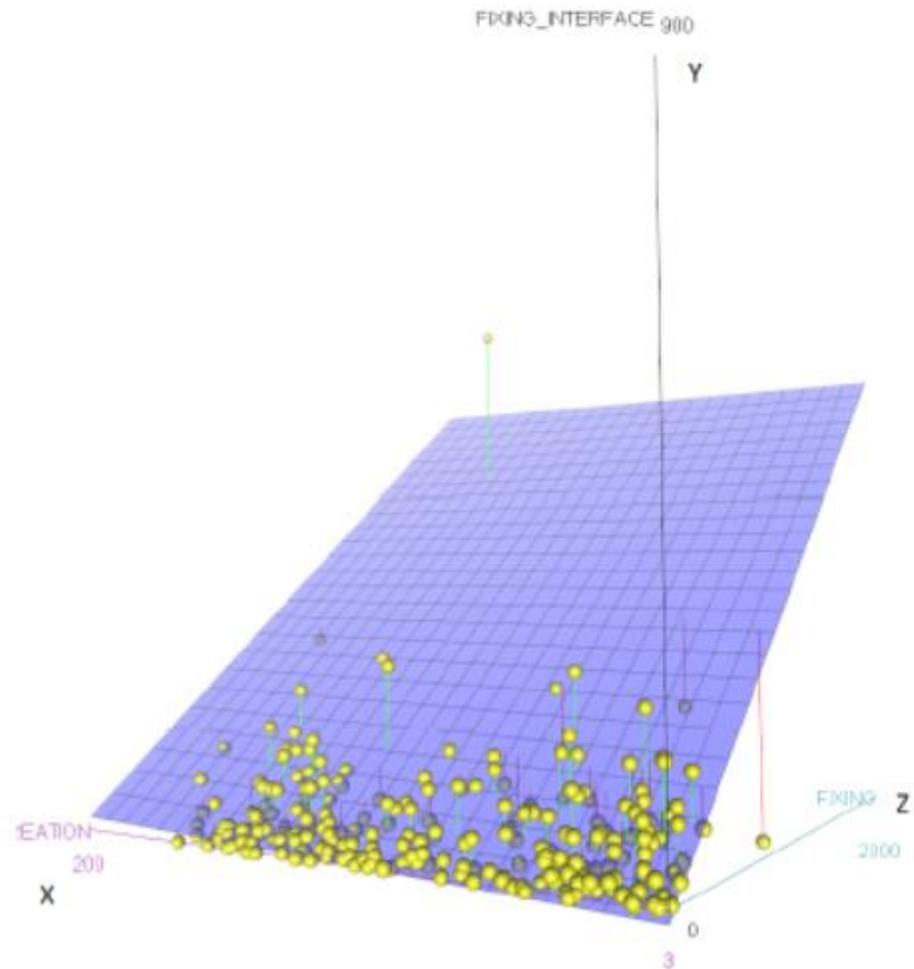
# Resultados – RQ #3



# Resultados – RQ #3

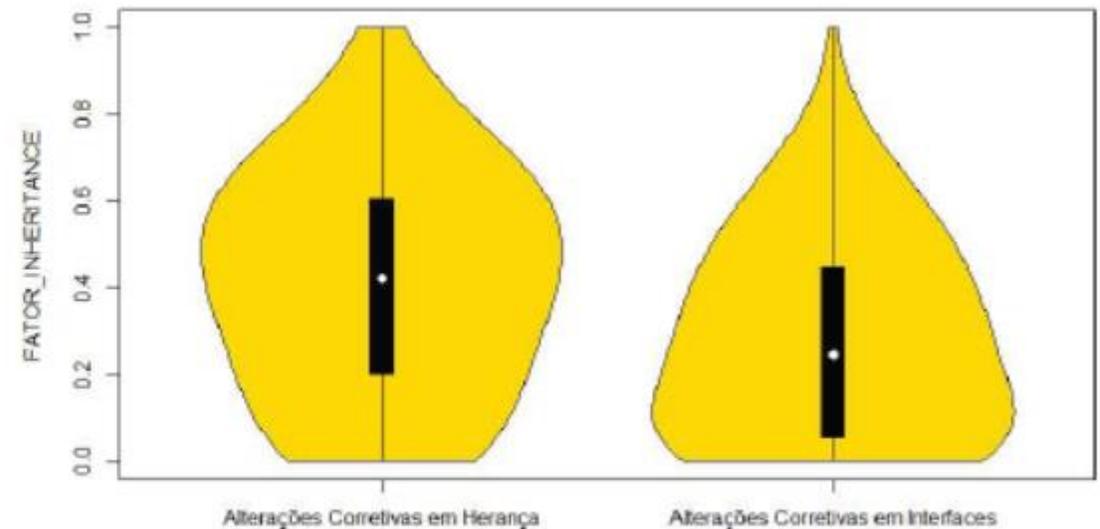
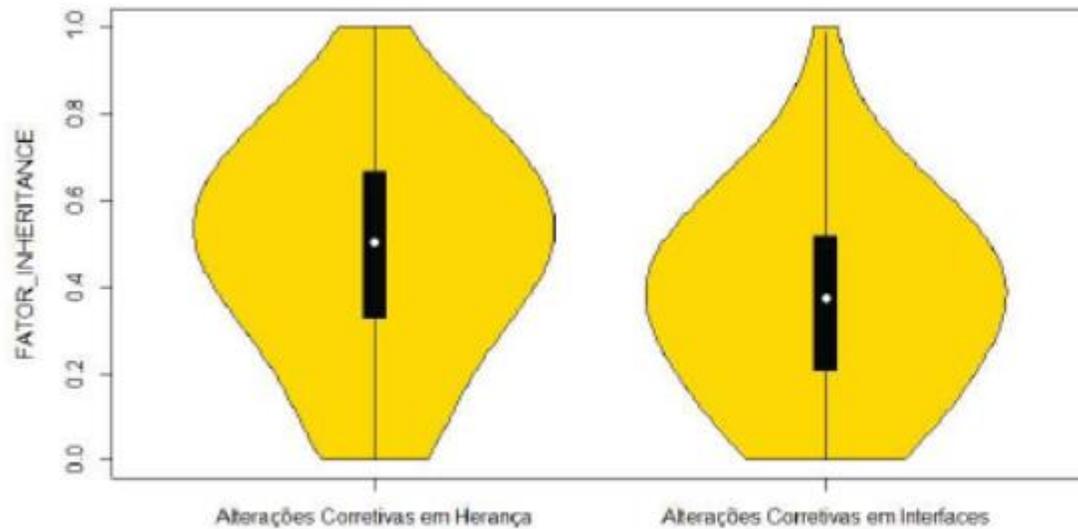


# Resultados – RQ #3



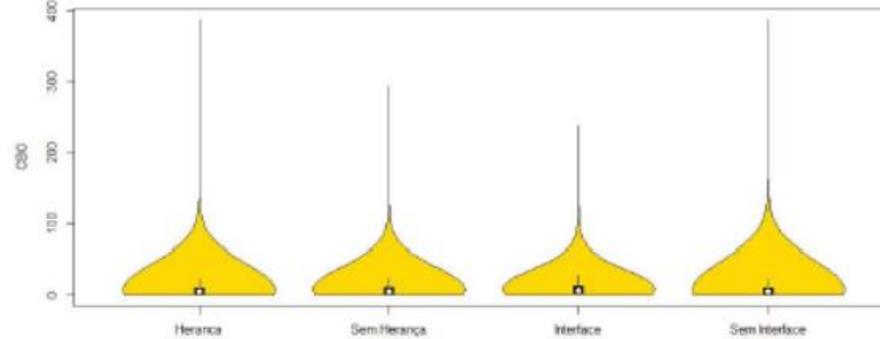
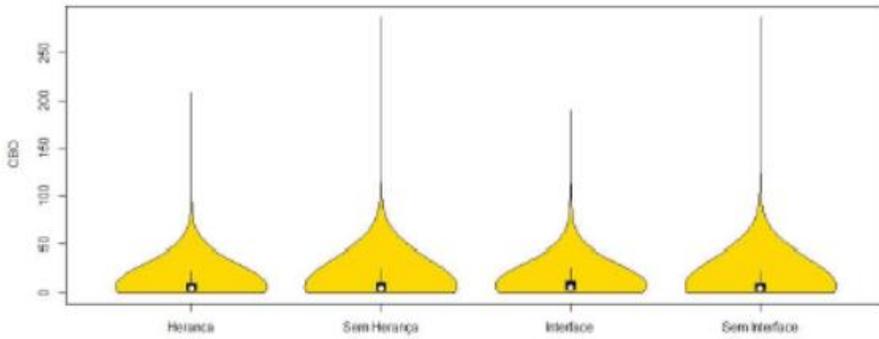
# Resultados – RQ #3

Julho-2008

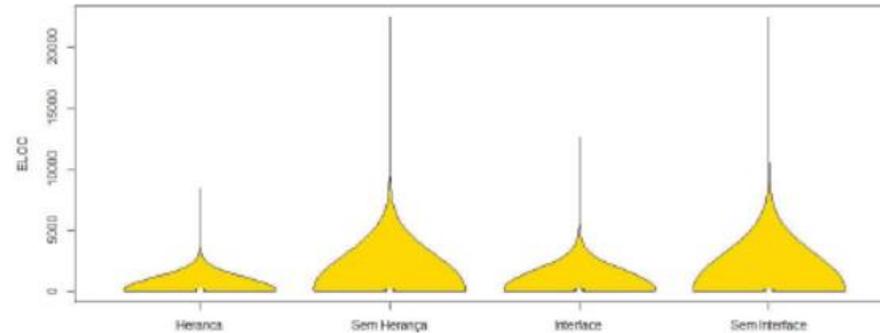
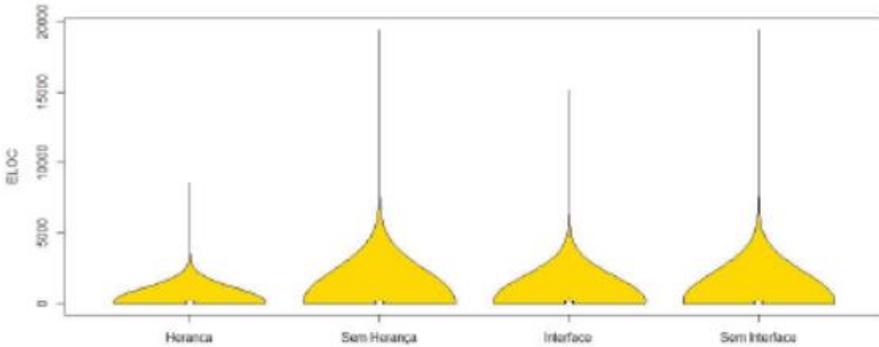


# Resultados – RQ #4

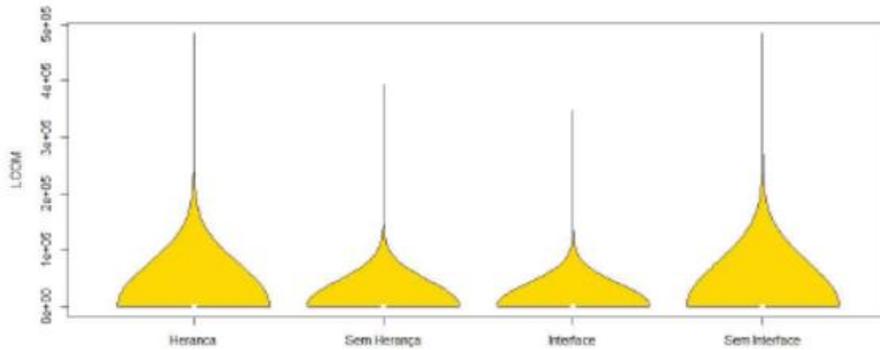
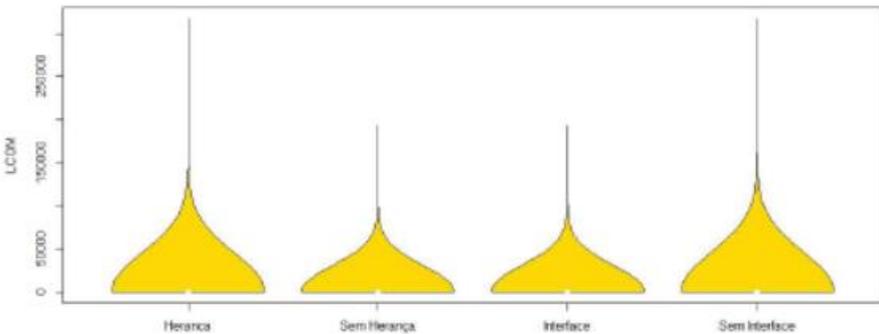
CBO



ELOC

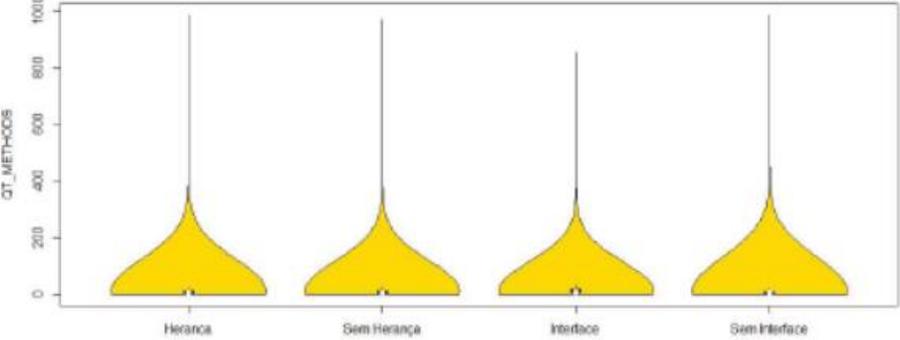
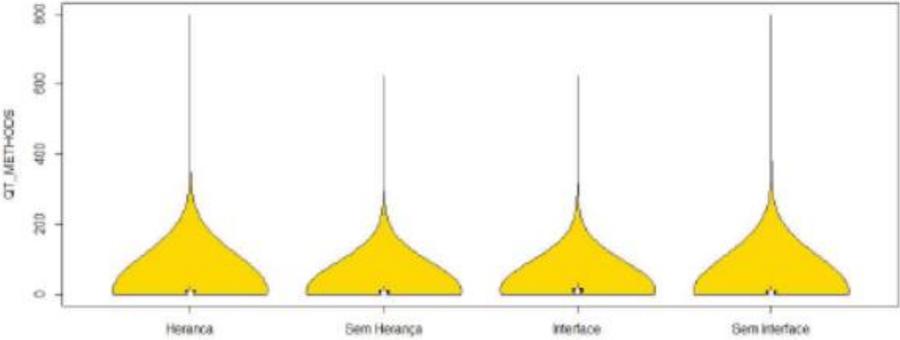


LCOM

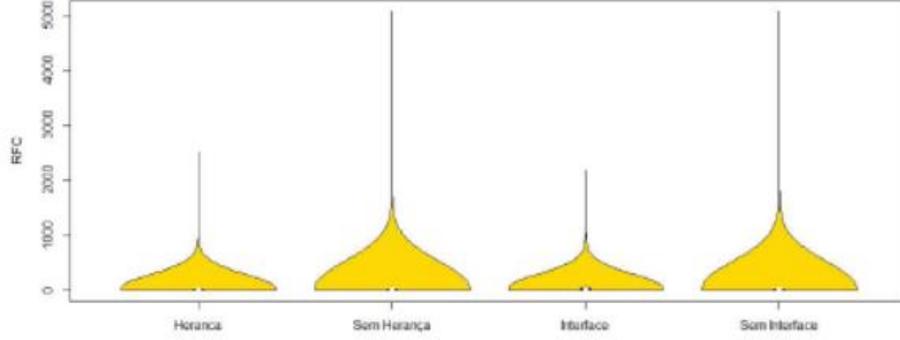
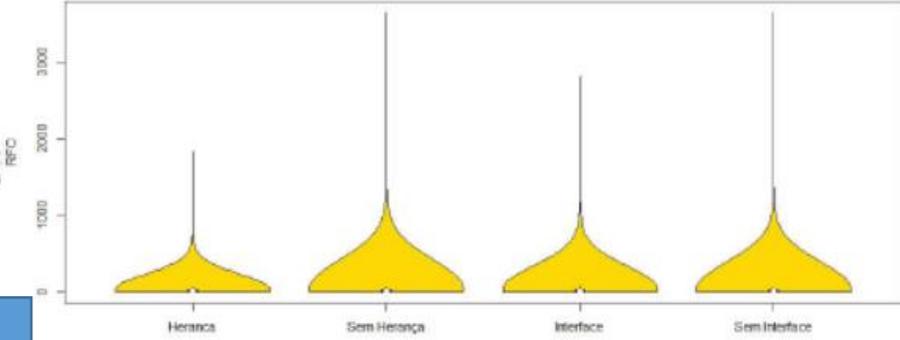


# Resultados – RQ #4

NOM

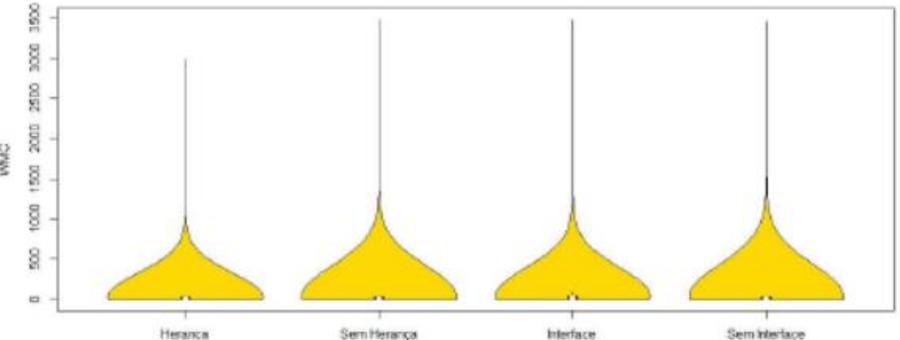
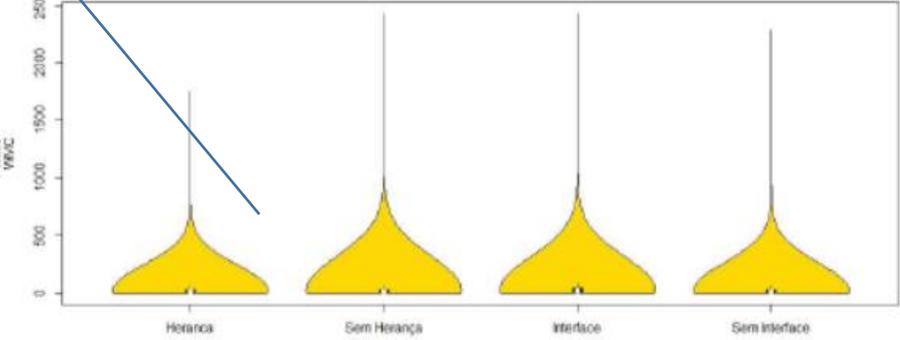


RFC



$\alpha = 0,1741$

WMC



# Resultados – RQ #4

	Versão Inicial				Versão Final			
	< Março-2009		≥ Março-2009		< Junho-2011		≥ Junho-2011	
	Herança	Interface	Herança	Interface	Herança	Interface	Herança	Interface
CBO	< 0,05	< 0,05	0,0367	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05
ELOC	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05
LCOM	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05
NOM	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05
RFC	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05	0,5017	< 0,05
WMC	< 0,05	< 0,05	0,5079	< 0,05	< 0,05	< 0,05	< 0,05	< 0,05

# Resultados – RQ #5

	Versão Inicial			Versão Final		
	Com C.D.S.Private	Sem C.D.S.Private	X <sup>2</sup> p-value	Com C.D.S.Private	Sem C.D.S.Private	X <sup>2</sup> p-value
Com Herança	1,051	105,749	< 2.2e-16	1,567	164,130	< 2.2e-16
Sem Herança	2,581	141,707		3,720	209,206	
Com Interface	408	45,592	< 2.2e-16	696	70,114	< 2.2e-16
Sem Interface	3,222	201,740		4,649	303,391	
	Com Complex Class	Sem Complex Class	X <sup>2</sup> p-value	Com Complex Class	Sem Complex Class	X <sup>2</sup> p-value
Com Herança	813	106,447	0.1346	1,297	165,150	5.592e-08
Sem Herança	1,022	143,754		1,346	211,960	
Com Interface	803	45,781	< 2.2e-16	1,067	70,449	< 2.2e-16
Sem Interface	1,033	204,298		1,599	307,064	
	Com Functional D.	Sem Functional D.	X <sup>2</sup> p-value	Com Functional D.	Sem Functional D.	X <sup>2</sup> p-value
Com Herança	300	106,398	0.5331	460	165,091	0.5603
Sem Herança	385	143,695		568	211,864	
Com Interface	85	45,777	8.09e-05	137	70,442	1.364e-05
Sem Interface	600	200,194		891	306,917	
	Com God Class	Sem God Class	X <sup>2</sup> p-value	Com God Class	Sem God Class	X <sup>2</sup> p-value
Com Herança	3,067	105,834	3.557e-12	4,975	164,106	0.0009353
Sem Herança	4,867	142,703		6,792	210,388	
Com Interface	2,672	42,259	< 2.2e-16	3,754	69,663	< 2.2e-16
Sem Interface	5,252	203,164		8,062	305,228	

# Resultados – RQ #5

	Versão Inicial			Versão Final		
	Com Lazy Class	Sem Lazy Class	$X^2$ p-value	Com Lazy Class	Sem Lazy Class	$X^2$ p-value
Com Herança	17,809	89,071	0.8616	27,664	138,152	0.04209
Sem Herança	24,001	120,274	< 2.2e-16	34,957	177,734	< 2.2e-16
Com Interface	4,788	41,094	< 2.2e-16	6,402	64,208	< 2.2e-16
Sem Interface	36,999	168,168		56,189	252,121	
	Com Long Method	Sem Long Method	$X^2$ p-value	Com Long Method	Sem Long Method	$X^2$ p-value
Com Herança	978	105,968	1.826e-12	1,720	164,293	3.757e-13
Sem Herança	1,750	142,927		2,760	210,633	
Com Interface	626	45,564	6.058e-10	1,116	70,039	< 2.2e-16
Sem Interface	2,102	203,209		3,367	305,292	
	Com Spaghetti Code	Sem Spaghetti Code	$X^2$ p-value	Com Spaghetti Code	Sem Spaghetti Code	$X^2$ p-value
Com Herança	2,294	106,444	1.54e-09	3,692	165,125	0.005518
Sem Herança	3,645	143,692		5,035	211,855	
Com Interface	2,053	45,776	< 2.2e-16	1,116	70,039	< 2.2e-16
Sem Interface	3,879	204,238		3,367	305,292	

# Resultados – RQ #6

97,35% Herança

Tipo	Sempre		Perdeu		Depois		Nunca		Total	
	Ult	Del	Ult	Del	Ult	Del	Ult	Del	Ult	Del
Herança	384.933 (37,11%)	46.950 (35,39%)	5.875 (0,5%)	480 (0,3%)	21.510 (2,07%)	1827 (1,37%)	624.860 (60,24%)	83.371 (62,86%)	1.037.178 (88,66%)	132.628 (11,33%)
Interface	185.763 (17,91%)	23.226 (17,51%)	11.372 (1,09%)	814 (0,61%)	13.610 (1,31%)	1.043 (0,78%)	826.433 (79,68%)	107.545 (81,08%)	1.037.178 (88,66%)	132.628 (11,33%)

97,59% Imp. Interface

# Resultados – RQ #6

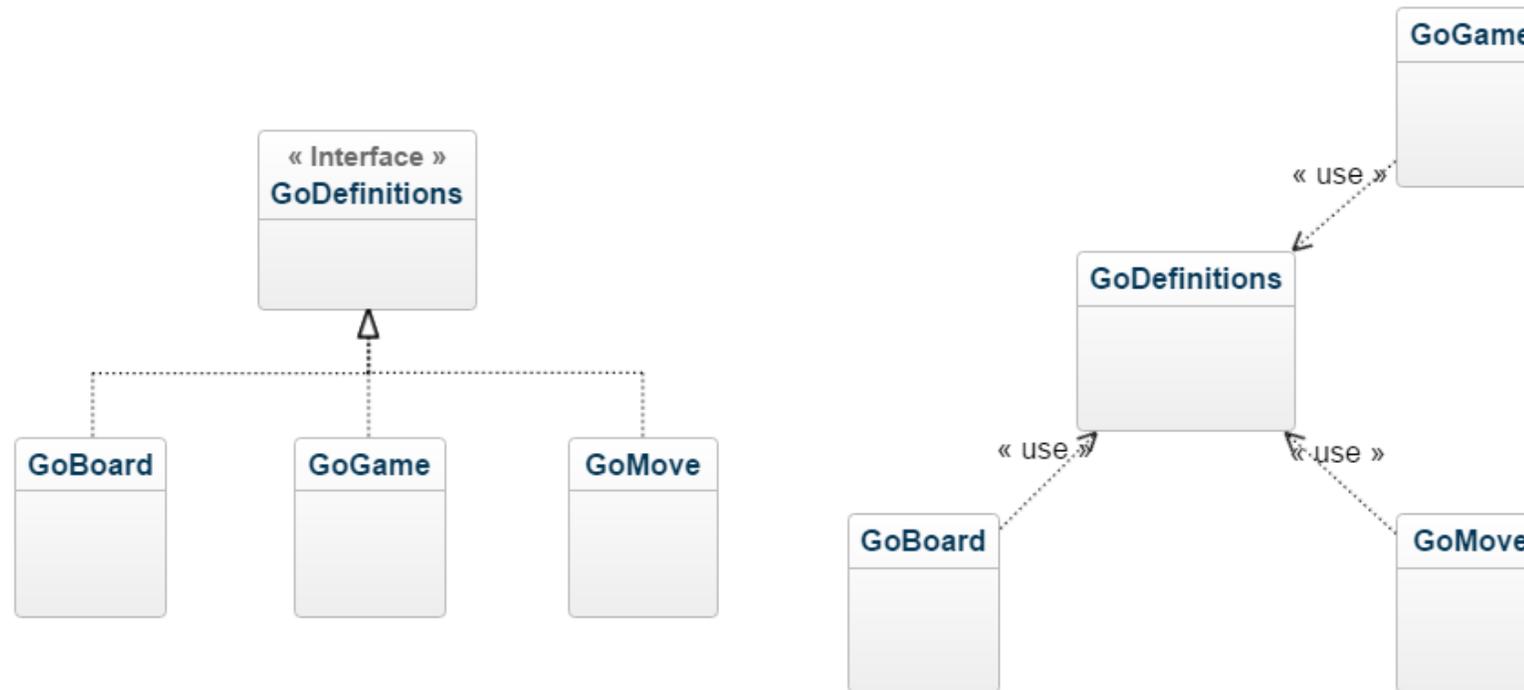
98,25% Herança

Tipo	Sempre		Perdeu		Depois		Nunca		Total	
	Ult	Del	Ult	Del	Ult	Del	Ult	Del	Ult	Del
Herança	384.933 (37,11%)	46.950 (35,39%)	5.875 (0,5%)	480 (0,3%)	21.510 (2,07%)	1827 (1,37%)	624.860 (60,24%)	83.371 (62,86%)	1.037.178 (88,66%)	132.628 (11,33%)
Interface	185.763 (17,91%)	23.226 (17,51%)	11.372 (1,09%)	814 (0,61%)	13.610 (1,31%)	1.043 (0,78%)	826.433 (79,68%)	107.545 (81,08%)	1.037.178 (88,66%)	132.628 (11,33%)

98,59% Imp.  
Interface

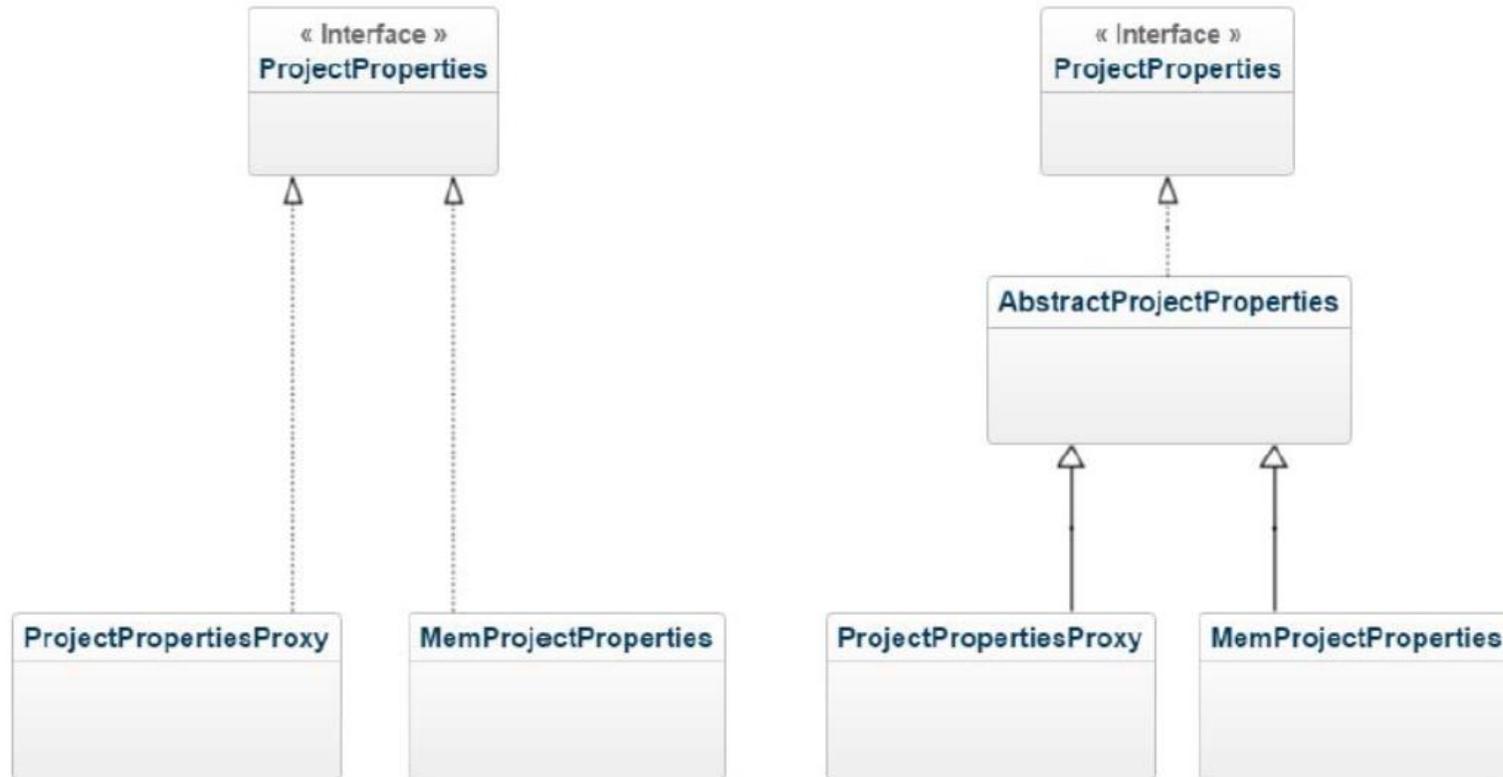
# Resultados – RQ #6

1) Abstrações Incertas (15 alterações – 37,5%)



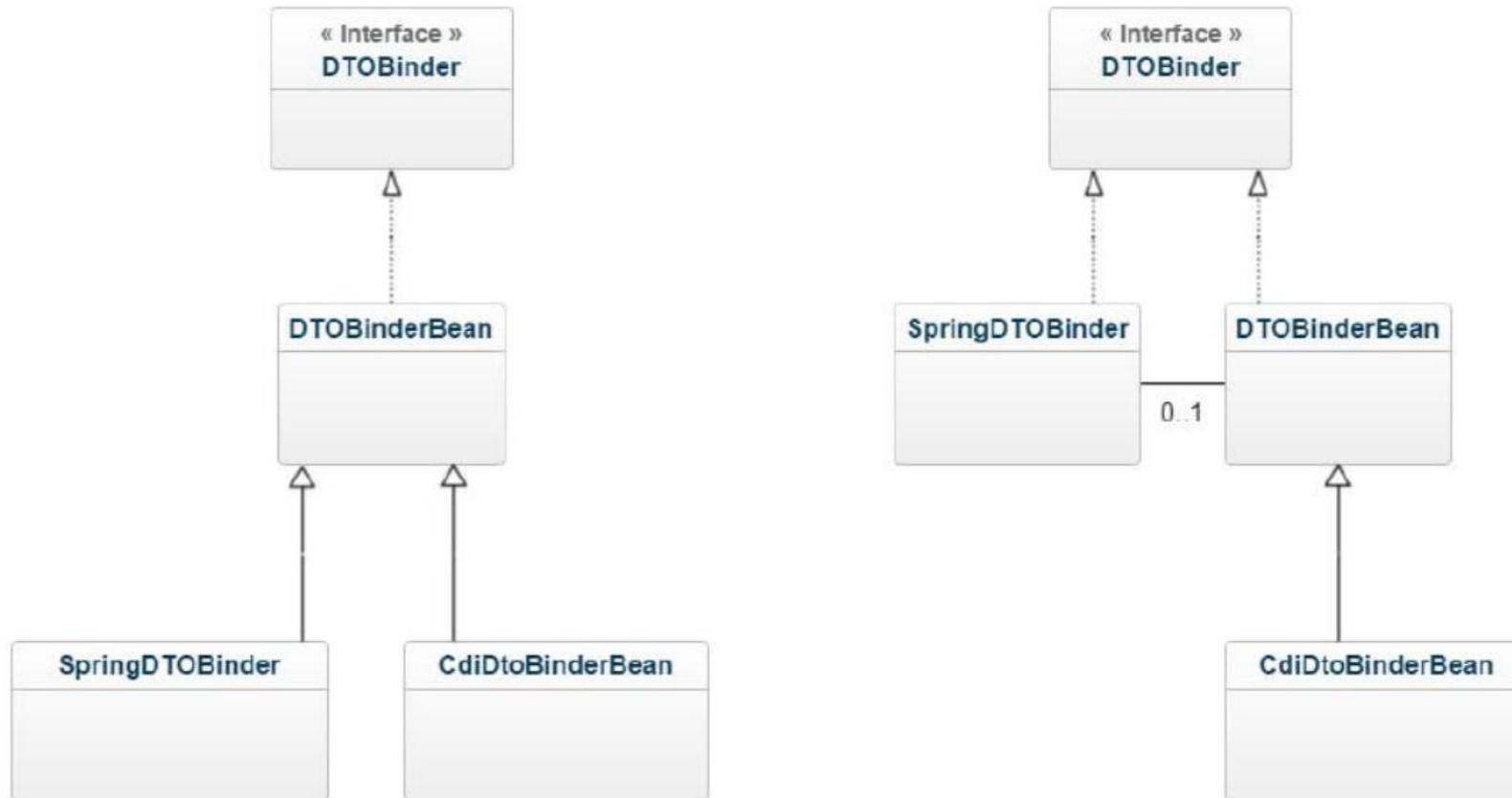
# Resultados – RQ #6

2) Comportamento padrão para as interfaces (9 alterações – 22,5%)



# Resultados – RQ #6

3) Novas funcionalidades com adoção de boas práticas (7 alterações – 17,5%)



# Resultados – RQ #6

4) Outros (9 alterações – 22,5%)

Sem identificação (4 alterações)

Estender classes externas (3 alterações)

Refactoring move package (2 alterações)

# ROTEIRO

1. Contextualização do Problema.
2. Objetivos.
3. Metodologia.
4. Resultados.
- 4. Ameaças à validade.**
5. Conclusão.

# 1) Tags.

Release\_0\_0\_1

Snapshot-2000-07-  
11T12\_26\_00Z

1) Tags;

**2) Refactoring move package ou rename class.**

- 1) Tags;
- 2) Refactoring move package ou rename class;
- 3) Escopo restrito à plataforma Java.**

# ROTEIRO

1. Contextualização do Problema.
2. Objetivos.
3. Metodologia.
4. Resultados.
4. Ameaças à validade.
- 5. Conclusão.**

Lição 1: Sistemas mais recentes têm projetado melhor o recurso de Herança, mas o crescimento das classes pode ser desproporcional

Uso de herança

Instanceof

Mudanças  
corretivas

ComplexClass e  
God Class na  
última release

Inclusões de herança

Lazy Class

Lição 2: Desenvolvedores ainda tendem a projetar herança visando primariamente o reaproveitamento de código

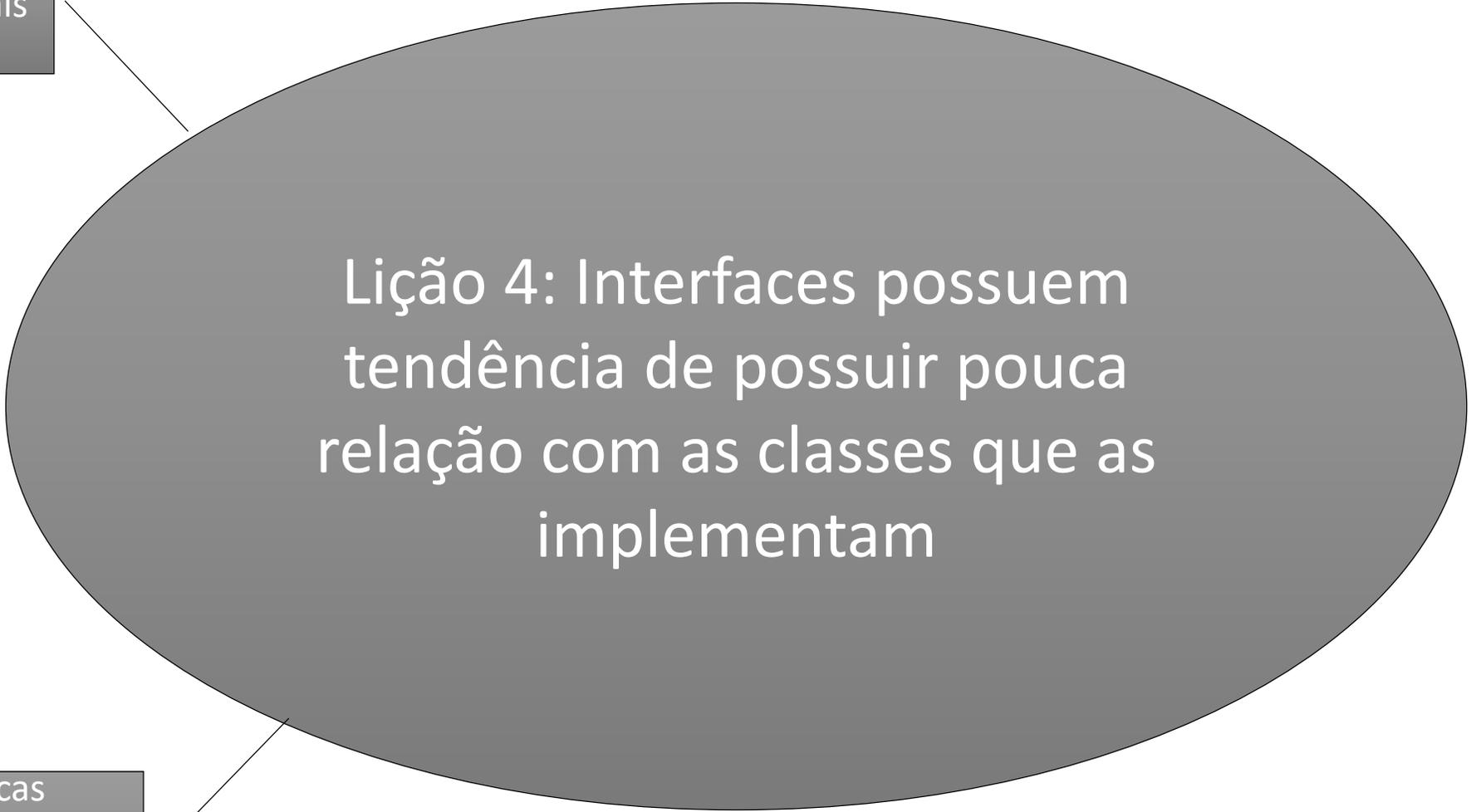
20% de classes  
imp. interfaces

15,33% das  
interfaces sem  
métodos

Lição 3: Existem indícios de que  
interfaces ainda são subutilizadas

Redução no seu  
uso em sistemas  
recentes

Perda de interfaces mais frequente



Métricas estruturais e Code Smells sem grande variação